

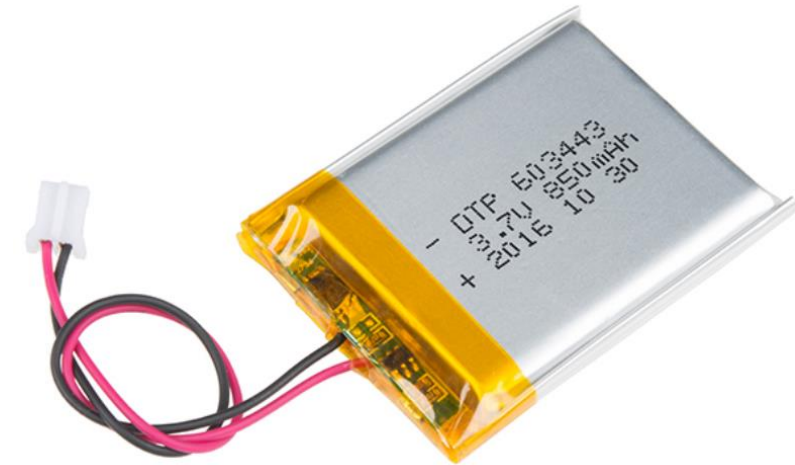
# Modeling, Management and Application of Lithium-Ion Battery Energy Storage Systems

Tutorial for  
**2023 CURENT Industry Conference**  
Apr. 2023

**Kevin Bai**

The University of Tennessee, Knoxville

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# Agenda

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- Battery Mechanism & Operating Principles;
- Battery Management Systems;
- Battery Modeling and Characterization;
- Future Development.

# Battery

Chemical  
A  
A  
A  
A + B  
Free energy diss



Cell  
B  
B  
B  
B  
B  
ed to electrical energy

The first battery was invented by Alessandro Volta in 1800: Zn-Cu

# Example: PbA Battery

Discharging

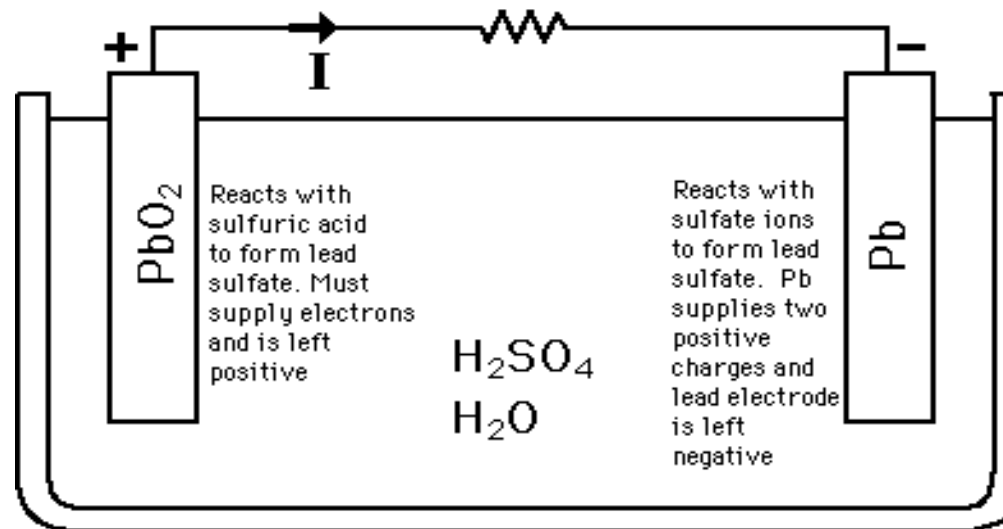
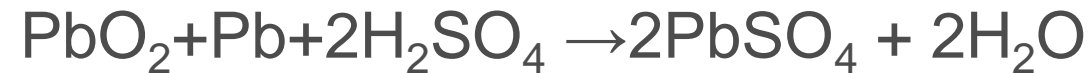
Negative Plate:



Positive Plate:



Overall:



# Periodic Table of the Elements 2005

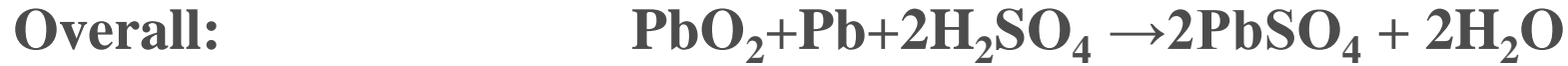
1 H 1.01																	18 He 4.00
3 Li 6.94	2 4 Be 9.01											5 B 10.81	6 C 12.01	7 N 14.01	8 O 15.99	9 F 19.00	10 Ne 20.18
11 Na 22.99	12 Mg 25.31											13 Al 26.98	14 Si 28.09	15 P 30.97	16 S 32.07	17 Cl 35.45	18 Ar 39.95
19 K 39.10	20 Ca 40.08	21 Sc 44.96	22 Ti 47.87	23 V 50.94	24 Cr 52.00	25 Mn 54.94	26 Fe 55.85	27 Co 58.93	28 Ni 58.69	29 Cu 63.55	30 Zn 65.41	31 Ga 69.72	32 Ge 72.64	33 As 74.92	34 Se 78.96	35 Br 79.90	36 Kr 83.80
37 Rb 85.47	38 Sr 87.62	39 Y 88.91	40 Zr 91.22	41 Nb 92.91	42 Mo 95.94	43 Tc (98)	44 Ru 101.07	45 Rh 102.91	46 Pd 106.42	47 Ag 107.87	48 Cd 112.41	49 In 114.82	50 Sn 118.71	51 Sb 121.76	52 Te 127.60	53 I 126.90	54 Xe 131.29
55 Cs 132.91	56 Ba 137.33	57 La 138.91	72 Hf 178.49	73 Ta 180.95	74 W 183.84	75 Re 186.21	76 Os 190.23	77 Ir 192.22	78 Pt 195.08	79 Au 196.97	80 Hg 200.59	81 Tl 204.38	82 Pb 207.2	83 Bi 208.98	84 Po (209)	85 At (210)	86 Rn (222)
87 Fr (223)	88 Ra (226)	89 Ac (227)	104 Rf (261)	105 Db (262)	106 Sg (266)	107 Bh (264)	108 Hs (270)	109 Mt (268)	110 Ds (281)	111 Rg (272)							

58 Ce 140.12	59 Pr 140.91	60 Nd 144.24	61 Pm (145)	62 Sm 150.36	63 Eu 151.97	64 Gd 157.25	65 Tb 158.93	66 Dy 162.50	67 Ho 164.93	68 Er 167.26	69 Tm 168.93	70 Yb 173.04	71 Lu 174.97
90 Th 232.04	91 Pa 231.04	92 U 238.03	93 Np (237)	94 Pu (244)	95 Am (243)	96 Cm (247)	97 Bk (247)	98 Cf (251)	99 Es (252)	100 Fm (257)	101 Md (258)	102 No (259)	103 Lr (262)



# Example: PbA Battery

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Charge  $2e^-$

Mass 239 207 196

Relative mass:

Pb: 207

O: 16

S: 32

Other info:

1. One electron:  $-1.602 \cdot 10^{-19}\text{C}$

2. One hydrogen atom:  $1.667 \cdot 10^{-27}\text{kg}$

To transfer  $Q = 2 \cdot 1.602 \cdot 10^{-19}\text{C}$  charge, we need the mass  $M = (239 + 207 + 196) \cdot 1.667 \cdot 10^{-27}\text{kg}$ , yielding  $Q/M/3600 = 83\text{Ah/kg}$

# Li-ion Battery Inventions

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***2019 Nobel Prize in Chemistry: development of lithium-ion batteries***



***John B. Goodenough***

***1980s battery positive material***



***M. Stanley Whittingham***

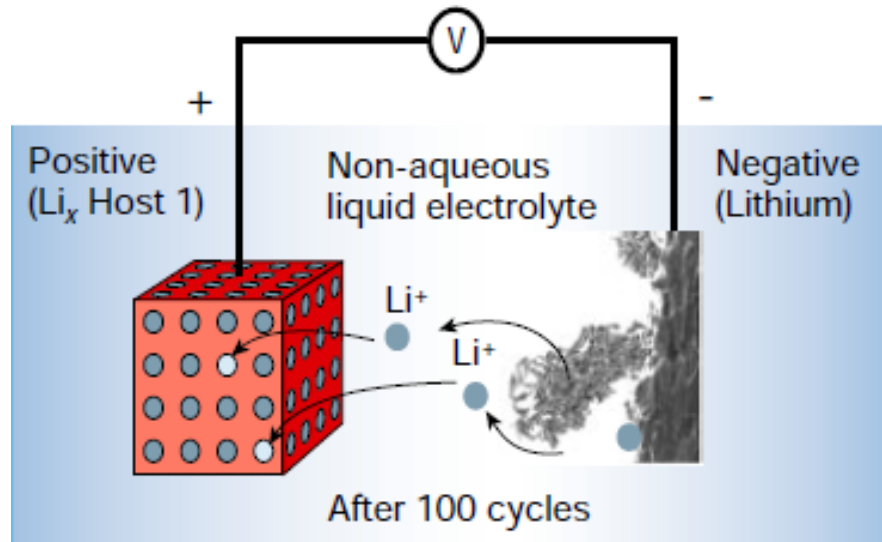
***1972 1<sup>st</sup> Li battery***



***Akira Yoshino***

***1980s 1<sup>st</sup> Li-ion Battery***

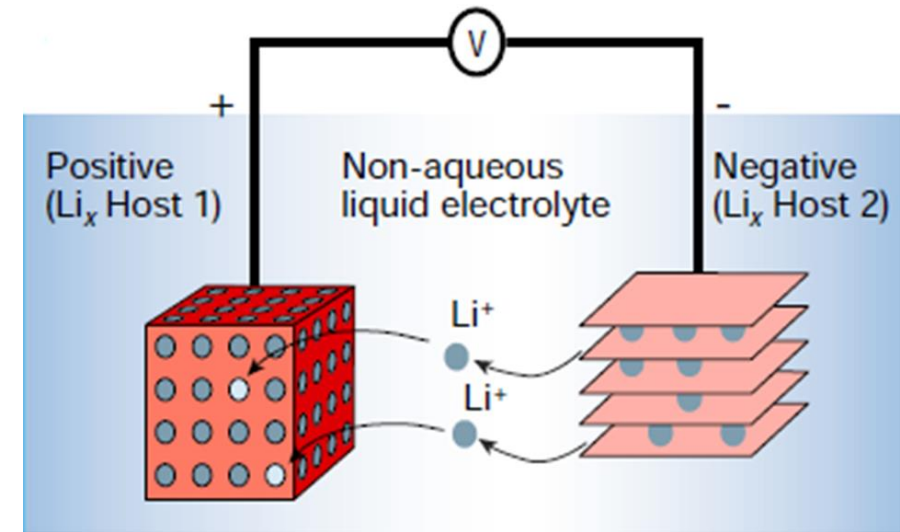
# Li-ion Battery History



Lithium-metal Battery(Primary)

*In 1972, Whittingham (Exxon):  $\text{TiS}_2$  (Low voltage, layered-type structure)+ Li metal*

*Early 1980s, Goodenough proposed the families of compounds:  $\text{Li}_x\text{MO}_2$  (where M is Co, Ni or Mn)*



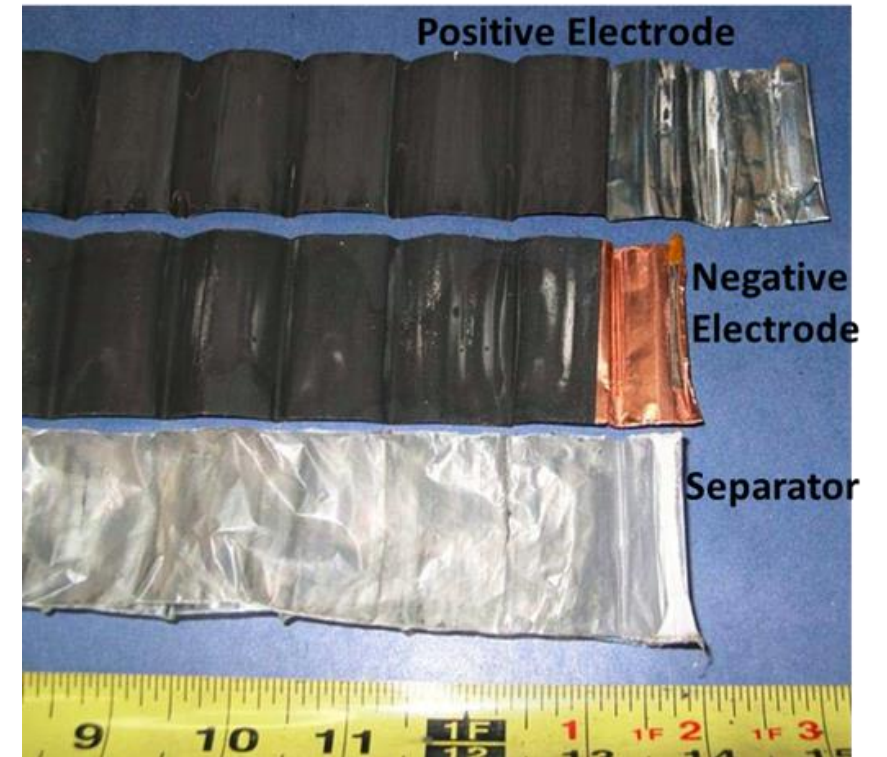
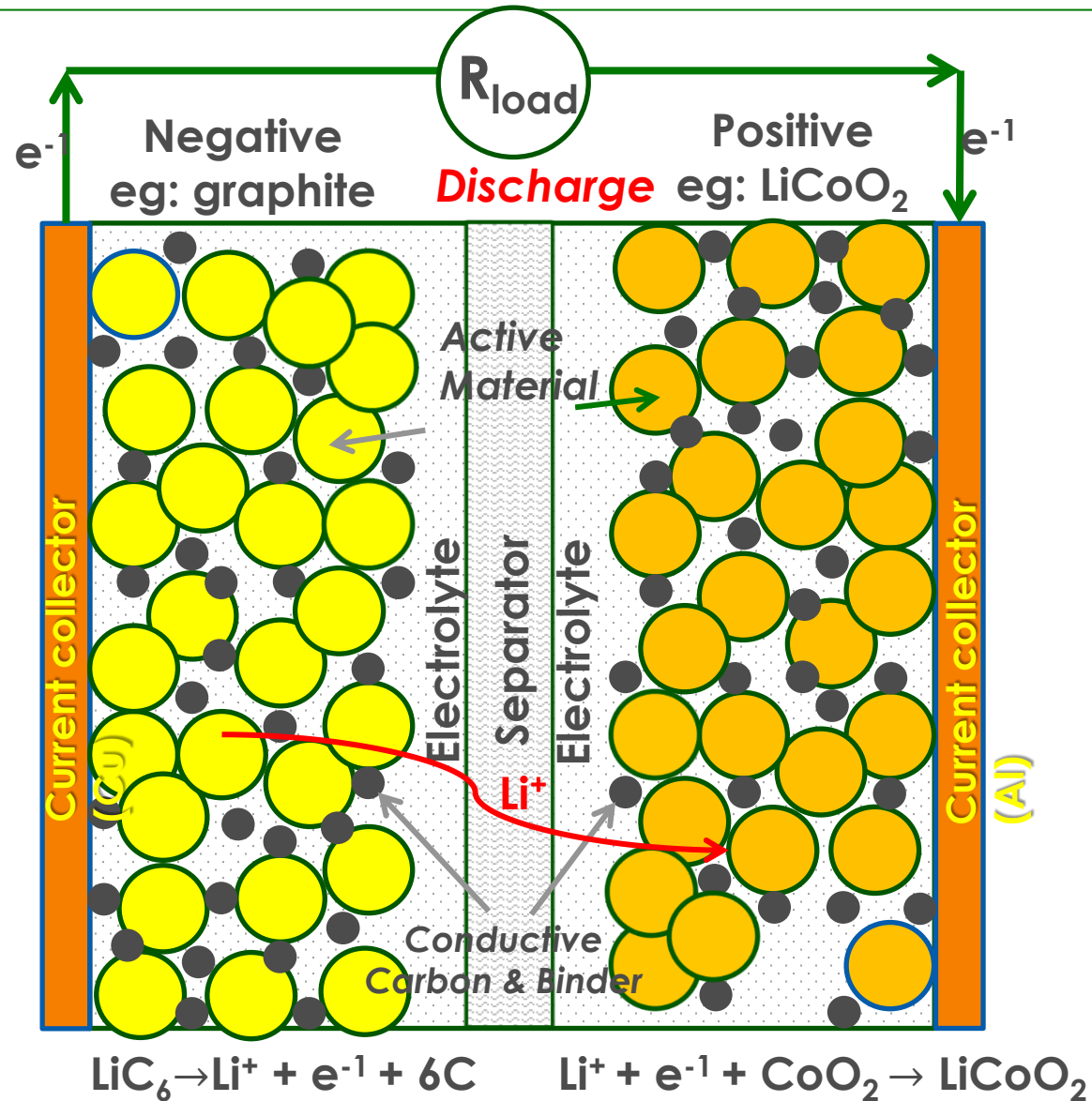
Rechargeable Li-ion battery (Secondary)

*Started in 1981 and invented in 1985, Yoshino: substitute metallic Li for a second insertion material (carbon-rich anode, so-called Li-ion or rocking-chair technology)*

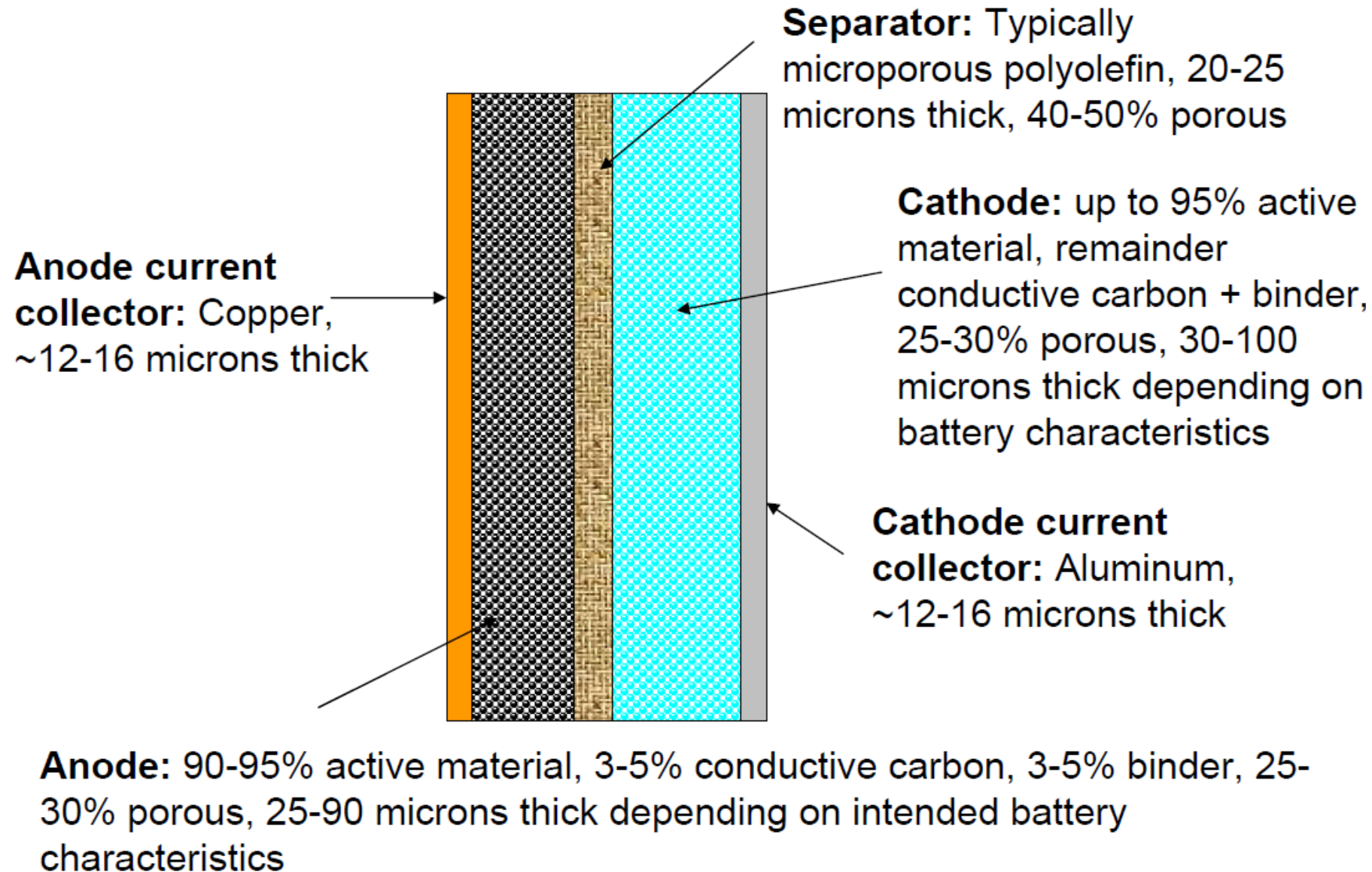
*1991, Sony commercialized C/ $\text{LiCoO}_2$  (3.6V, 120-150 Wh/kg)*



# Li-ion Battery



# Li-ion Battery Structure



# Li-ion Battery Structure

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**Al**

**Cathode**

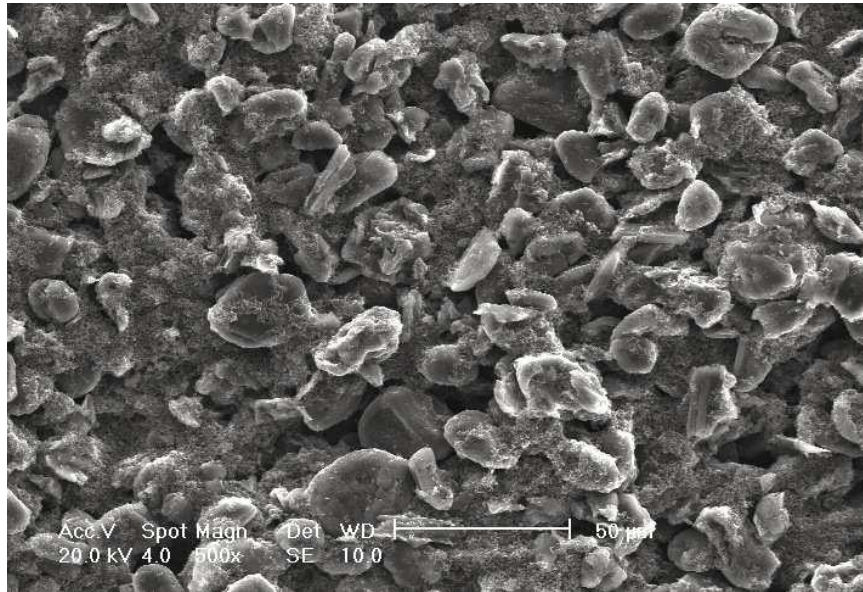
**Separator**

**Anode**

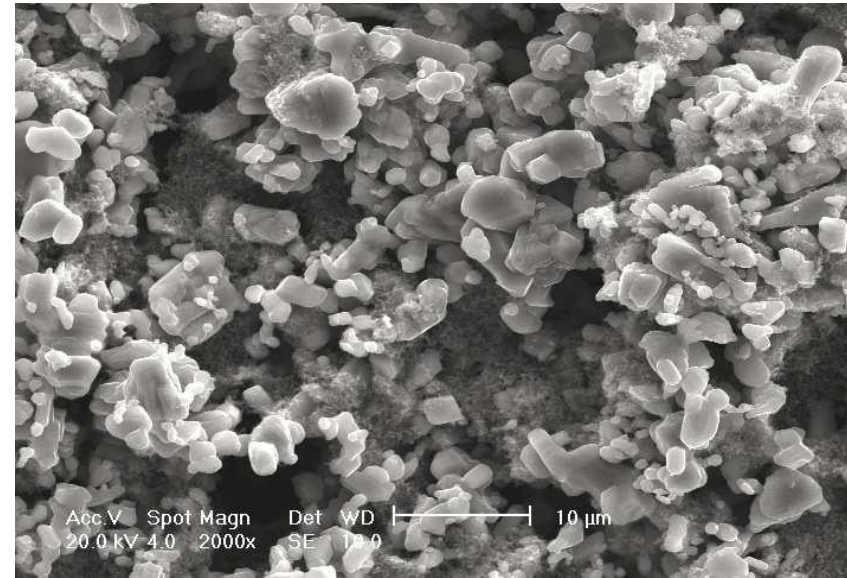
**Cu**

Optical microscope image

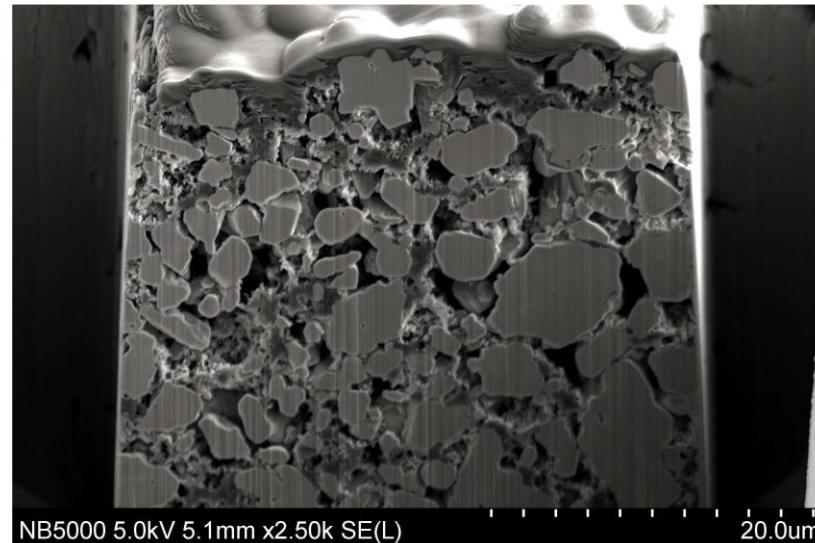
# Morphology of Battery Materials



Carbonaceous spheres (graphite, negative electrode)

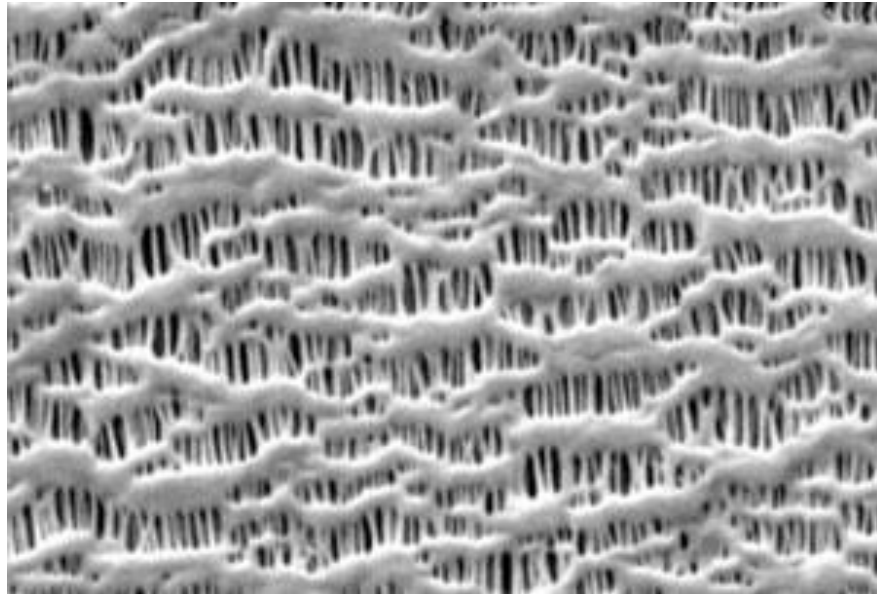
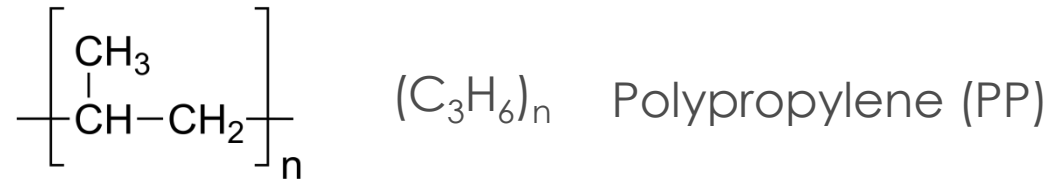


Lithium manganese oxide (positive electrode)

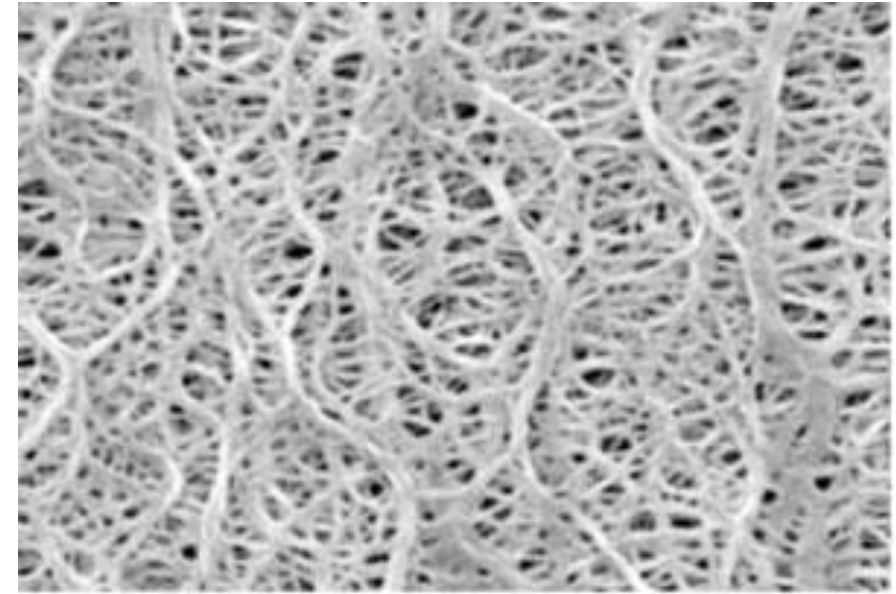
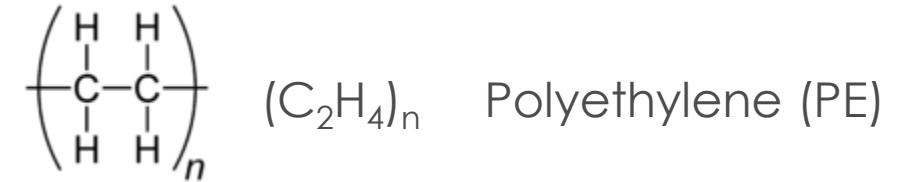


Cross-sectional slice of electrode material

# Separator



SEM Surface Photomicrograph of  
Celgard® Monolayer PP Battery  
Separator




SEM Surface Photomicrograph of  
Celgard® Monolayer PE Battery  
Separator

# Why Li-ion? (1)



**Periodic Table of the Elements 2005**

1 H 1.01																	18 He 4.00
3 Li 6.94	4 Be 9.01											5 B 10.81	6 C 12.01	7 N 14.01	8 O 15.99	9 F 19.00	10 Ne 20.18
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			90 Th 232.04	91 Pa 231.04	92 U 238.03	93 Np (237)	94 Pu (244)	95 Am (243)	96 Cm (247)	97 Bk (247)	98 Cf (251)	99 Es (252)	100 Fm (257)	101 Md (258)	102 No (259)	103 Lr (262)	



**Molecular Research Institute**

# Why Li-ion? (2)

	Reduction Half-Reaction	$E^\circ$ (V)	
Stronger oxidizing agent 	$F_2(g) + 2 e^- \longrightarrow 2 F^-(aq)$	2.87	Weaker reducing agent 
	$H_2O_2(aq) + 2 H^+(aq) + 2 e^- \longrightarrow 2 H_2O(l)$	1.78	
	$MnO_4^-(aq) + 8 H^+(aq) + 5 e^- \longrightarrow Mn^{2+}(aq) + 4 H_2O(l)$	1.51	
	$Cl_2(g) + 2 e^- \longrightarrow 2 Cl^-(aq)$	1.36	
	$Cr_2O_7^{2-}(aq) + 14 H^+(aq) + 6 e^- \longrightarrow 2 Cr^{3+}(aq) + 7 H_2O(l)$	1.33	
	$O_2(g) + 4 H^+(aq) + 4 e^- \longrightarrow 2 H_2O(l)$	1.23	
	$Br_2(l) + 2 e^- \longrightarrow 2 Br^-(aq)$	1.09	
	$Ag^+(aq) + e^- \longrightarrow Ag(s)$	0.80	
	$Fe^{3+}(aq) + e^- \longrightarrow Fe^{2+}(aq)$	0.77	
	$O_2(g) + 2 H^+(aq) + 2 e^- \longrightarrow H_2O_2(aq)$	0.70	
	$I_2(s) + 2 e^- \longrightarrow 2 I^-(aq)$	0.54	
	$O_2(g) + 2 H_2O(l) + 4 e^- \longrightarrow 4 OH^-(aq)$	0.40	
	$Cu^{2+}(aq) + 2 e^- \longrightarrow Cu(s)$	0.34	
	$Sn^{4+}(aq) + 2 e^- \longrightarrow Sn^{2+}(aq)$	0.15	
	<b><math>2 H^+(aq) + 2 e^- \longrightarrow H_2(g)</math></b>	<b>0</b>	
	$Pb^{2+}(aq) + 2 e^- \longrightarrow Pb(s)$	-0.13	
	$Ni^{2+}(aq) + 2 e^- \longrightarrow Ni(s)$	-0.26	
	$Cd^{2+}(aq) + 2 e^- \longrightarrow Cd(s)$	-0.40	
	$Fe^{2+}(aq) + 2 e^- \longrightarrow Fe(s)$	-0.45	
	$Zn^{2+}(aq) + 2 e^- \longrightarrow Zn(s)$	-0.76	
	$2 H_2O(l) + 2 e^- \longrightarrow H_2(g) + 2 OH^-(aq)$	-0.83	
	$Al^{3+}(aq) + 3 e^- \longrightarrow Al(s)$	-1.66	
	$Mg^{2+}(aq) + 2 e^- \longrightarrow Mg(s)$	-2.37	
	$Na^+(aq) + e^- \longrightarrow Na(s)$	-2.71	
Weaker oxidizing agent	$Li^+(aq) + e^- \longrightarrow Li(s)$	-3.04	Stronger reducing agent

# Example: Li-ion Battery

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A fully charged Li-ion battery has positive electrode as  $\text{CoO}_2$  and negative electrode as  $\text{LiC}_6$



Here  $M = \text{CoO}_2$ ,  $\langle \text{HLi} \rangle = \text{LiC}_6$

Therefore the overall chemical reaction when discharging is



Relative  
mass:

Li: 6.9

Co: 59

O: 16

C: 12

Other info:

1. One electron:  $-1.602 \times 10^{-19} \text{C}$

2. One hydrogen atom:  $1.667 \times 10^{-27} \text{kg}$



# Example: Li-ion Battery

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Relative mass     $59+16*2$      $6.9+12*6$

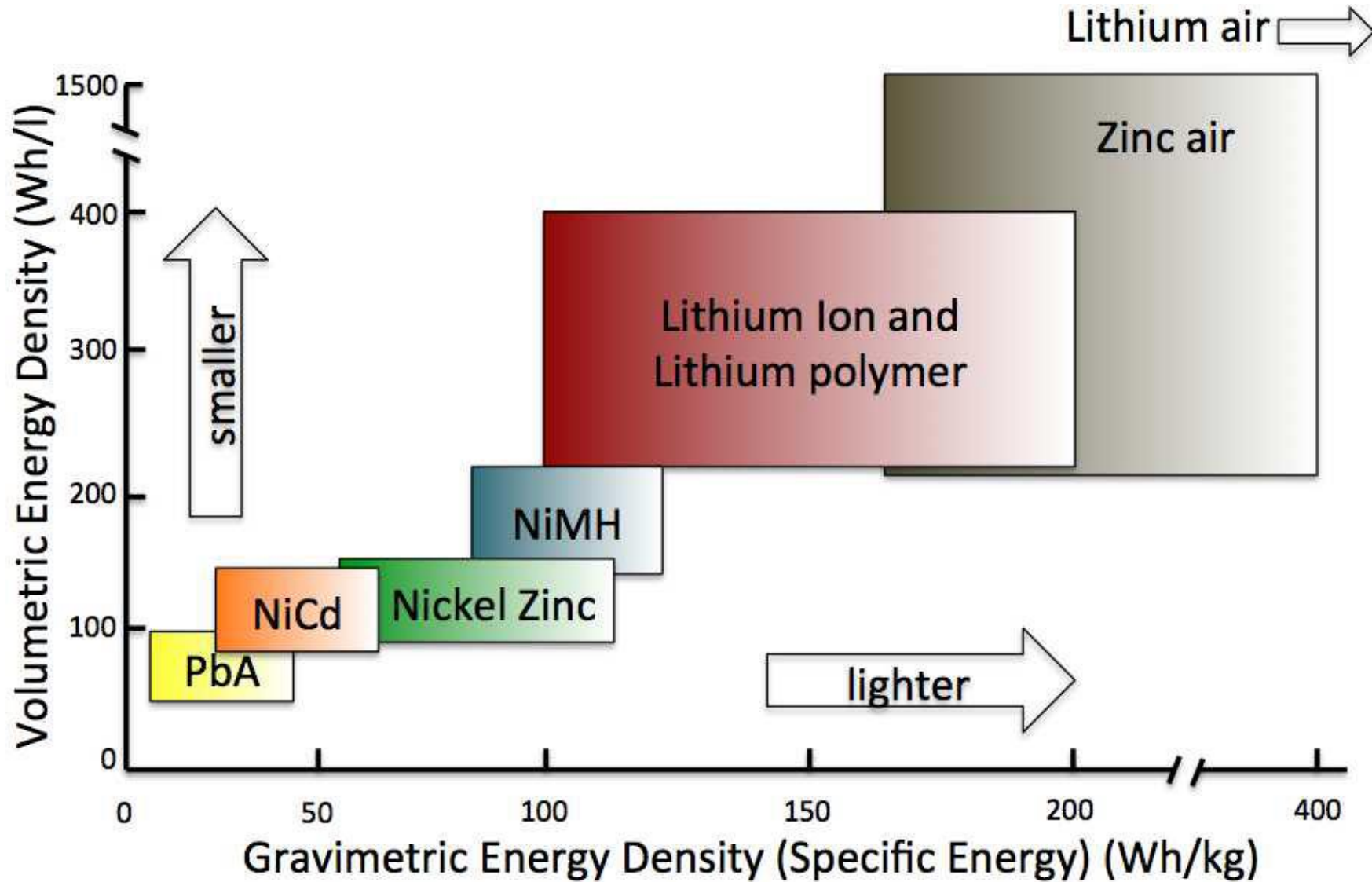
To generate  $Q=1.602*10^{-19}\text{C}$ , we need  $M=169.9*1.667*10^{-27}\text{kg}$ . Therefore the gravimetric charge density is  $Q/M/3600=157\text{Ah/kg}$ .

The energy density is  $3.4\text{V}*151\text{Ah/kg}=534\text{Wh/kg}$

What are those parameters of the Pb-Acid Battery?

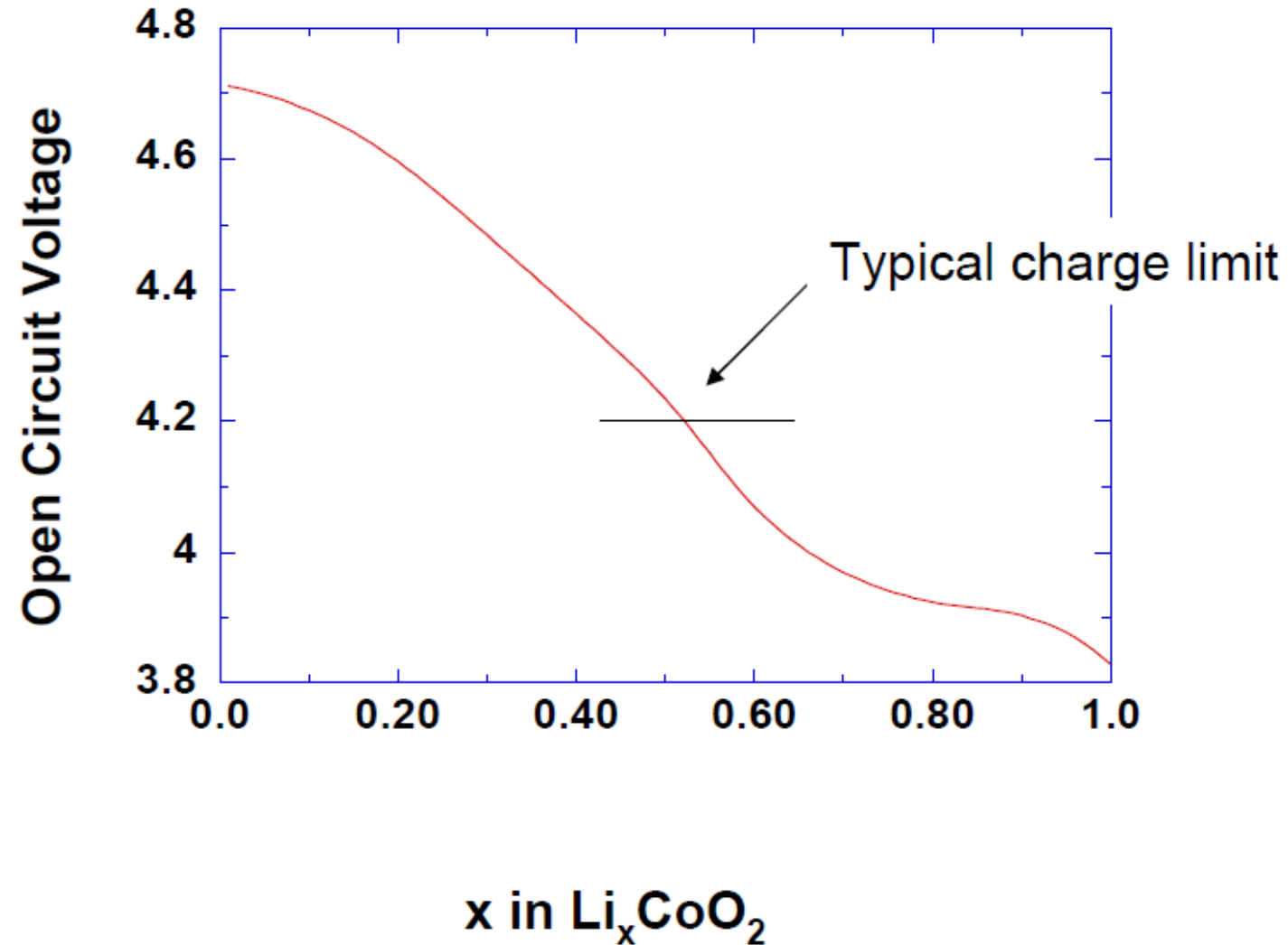
83Ah/kg  
174Wh/kg

# However...



# Why?

## *Cathode Voltage vs. Degree of Li<sup>+</sup> Intercalation C/LiCoO<sub>2</sub> Cell*



# Why?

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- **Electrolyte stability**

- Standard commercial Li-ion electrolytes only stable to ~4.5V vs. Li/Li+

- **Li<sub>x</sub>CoO<sub>2</sub> thermodynamic stability**

- X = 0.5-1.0 → stable compound

- x < 0.5 → Li<sub>x</sub>CoO<sub>2</sub> → Li<sub>2</sub>O/Co<sub>2</sub>O<sub>3</sub>/Co<sub>3</sub>O<sub>4</sub>/O<sub>2</sub>

# Battery vs Others

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- **What do I need to Travel 1 mile, in a vehicle?**

- 80g of CNG
- 96g of Gasoline
- 200g of chocolate

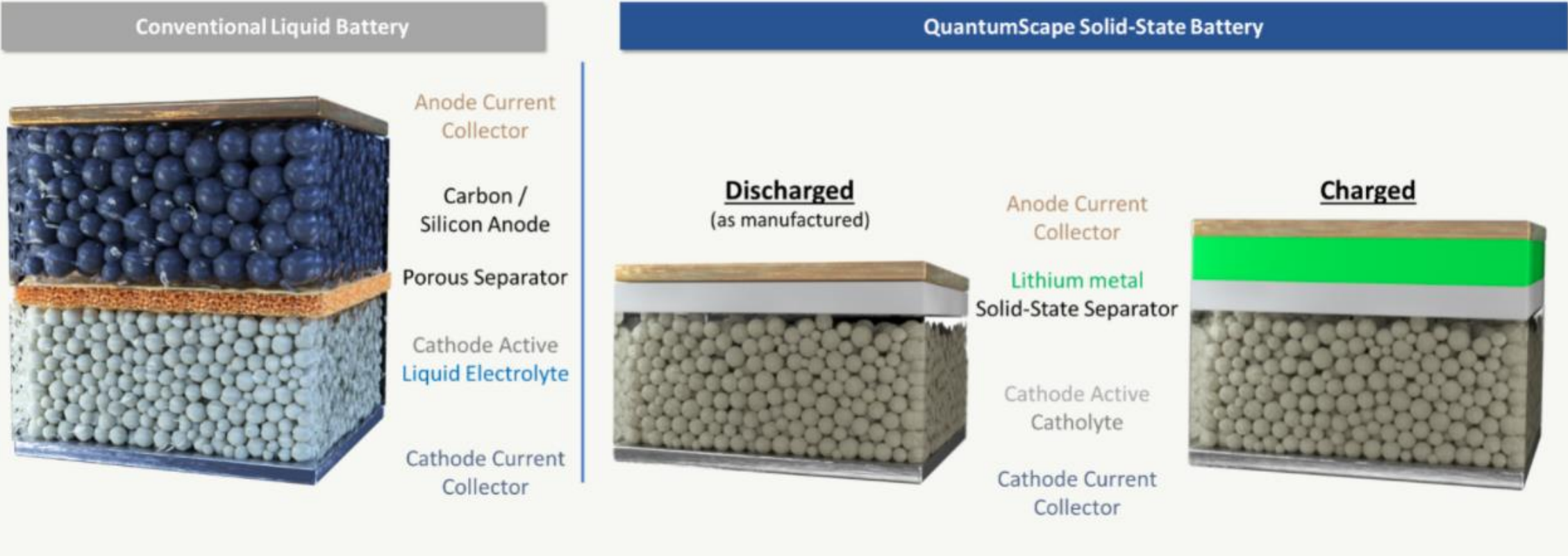
These utilize thermal processes

- 1600g of a lithium-ion cell
- 6900g of a lead-acid cell
- **20g of lithium metal!**

These utilize electrochemical processes

*Solid and liquid fuels have much higher energy density than the battery using electrochemical reactions*

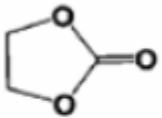
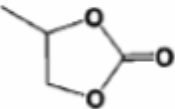
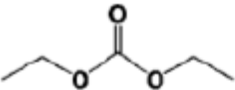
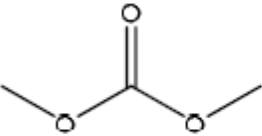
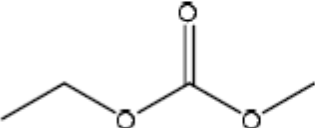
# Solid-state Battery



# Li-ion Battery Electrolyte

- Solvent: Blend of organic carbonates

The **flash point** of a volatile material is the lowest temperature at which it can vaporize to form an ignitable mixture in air.

Chemical name	Chemical structure	Viscosity (cP)	Dielectric constant	Melting Point (°C)	Flash Point (°C)
Ethylene carbonate (EC)		1.9 (40 °C)	89	37	160
Propylene carbonate (PC)		2.5	65	-49	132
Diethyl carbonate (DEC)		0.75	2.8	-74	31
Dimethyl carbonate (DMC)		0.59	3.1	4.6	18
Ethyl methyl carbonate (EMC)		0.65	3.9	-53	25
Water		0.88	78	0	Non-flammable

# Solid-state Battery

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## Pros:

- High safety: no dendrite piercing through the separator; low flaming possibility;
- High energy density: no need for carbon to collect Li; 300 - 400Wh / kg;
- Long cycling lifespan: ideally up to 45000 cycles.

## Cons:

- High resistance : due to not close contact between solid and solid; slow ion movement in the solid;
- High cost.



# Solid-state Battery

## Polymer



## Sulfide

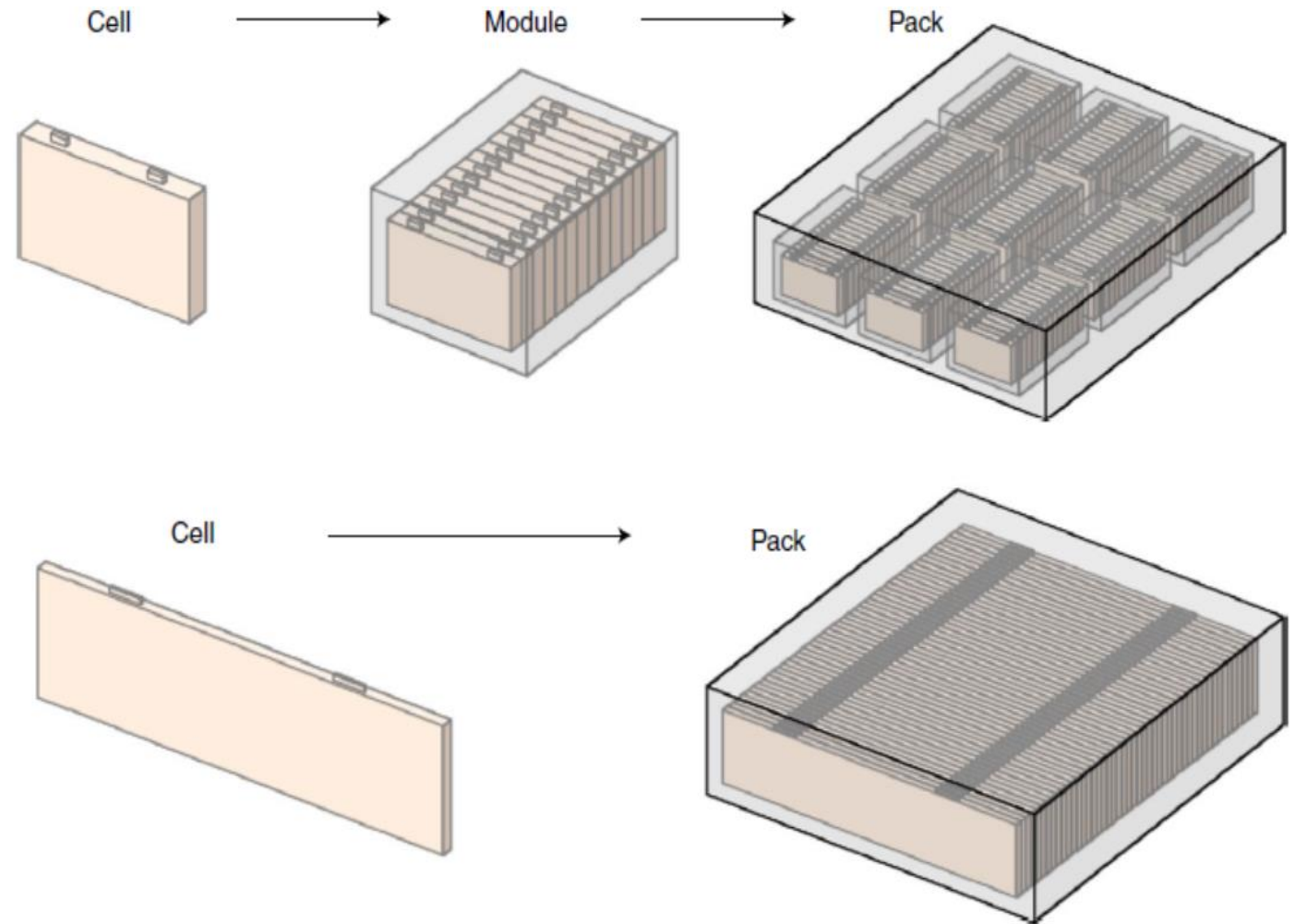


## Oxide



# Cell, Module and Pack

- The conventional battery pack uses cells to build module and then assembles modules to a pack.
- A blade battery pack builds on wide and short cells and assembles them directly into a pack, thereby having much higher mass and volume integration efficiencies than the conventional pack.



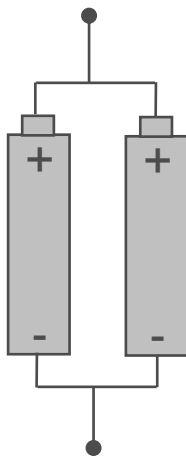
Yang, XG., Liu, T. & Wang, CY. Thermally modulated lithium iron phosphate batteries for mass-market electric vehicles. *Nat Energy* 6, 176–185 (2021).

# Cell, Module and Pack



Single Cell

1.5 V, 2.2 Ah



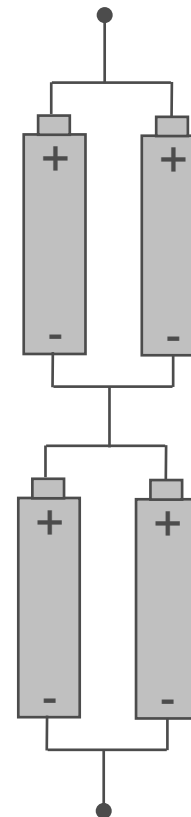
Parallel Cells

1.5 V, 4.4 Ah



Series Cells

3.0 V, 2.2 Ah



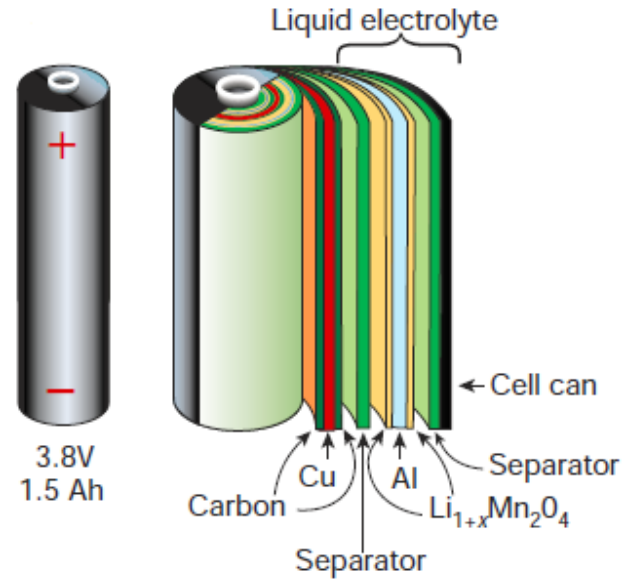
2S2P

3.0 V, 4.4 Ah

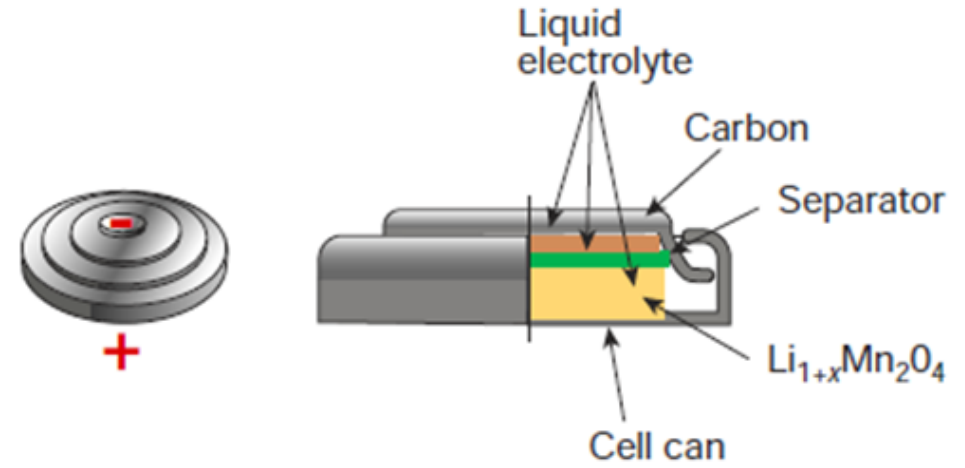
Use the AA Alkaline cells as a starting point – 1.5 V, 2.2 Ah cell

1. Series connection increases voltage;
2. Parallel connection increases Ah-capacity;
3. Combinations increase both voltage and Ah capacity.

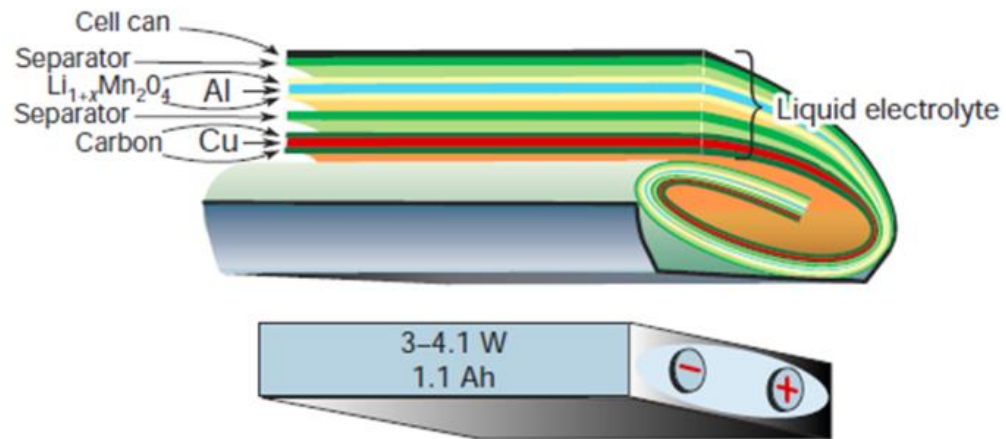
# Cells



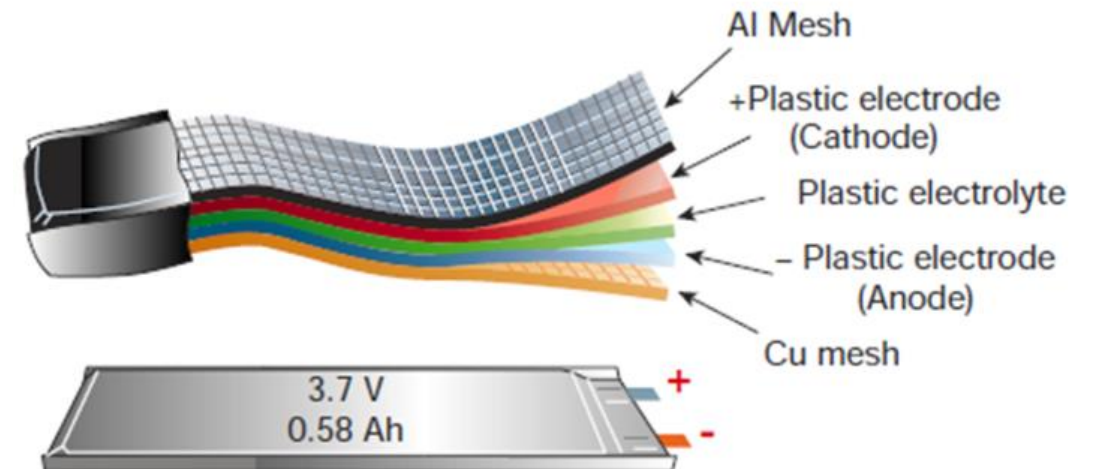
Cylindrical



Coin cell



Prismatic (Hard Can)



Pouch

# Cell, Module and Pack



Individual Cells

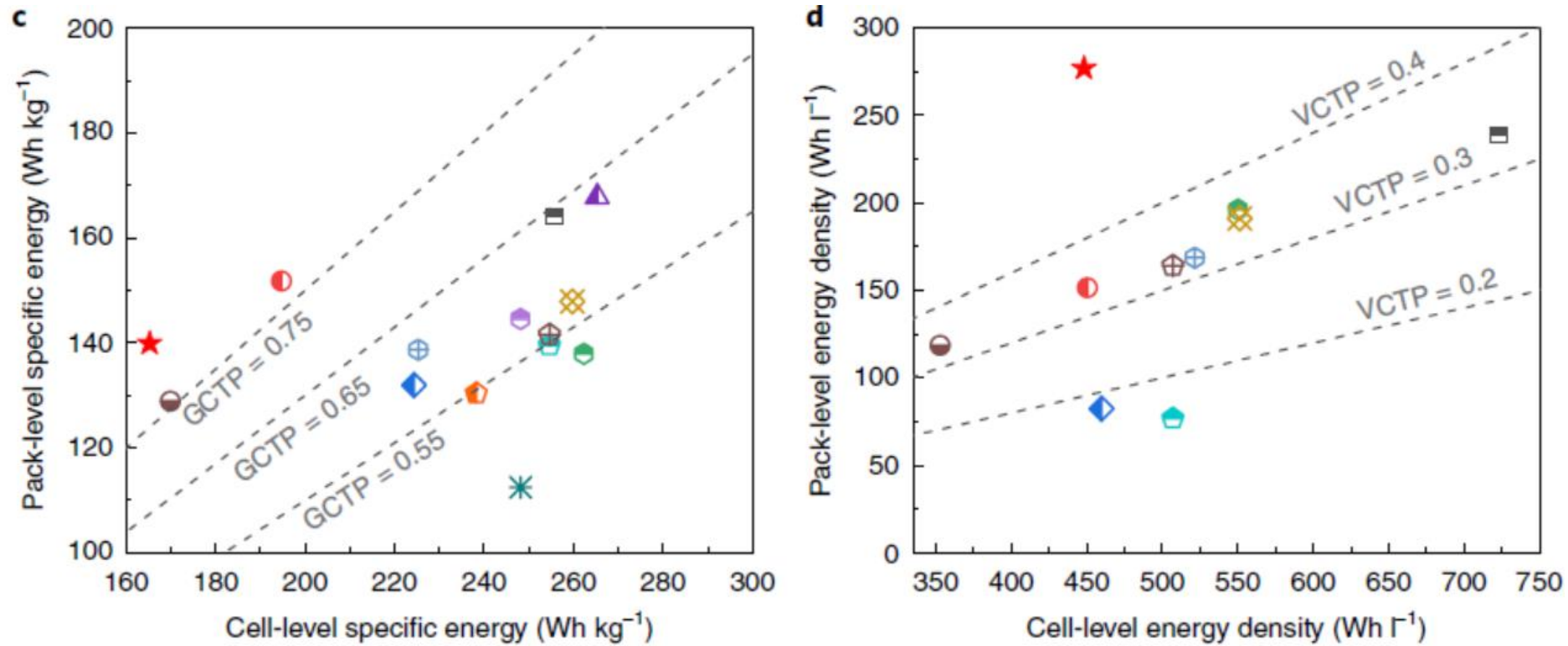


A prismatic Li-ion module by A123 system



Battery Pack by A123 system

# Pack vs Cell



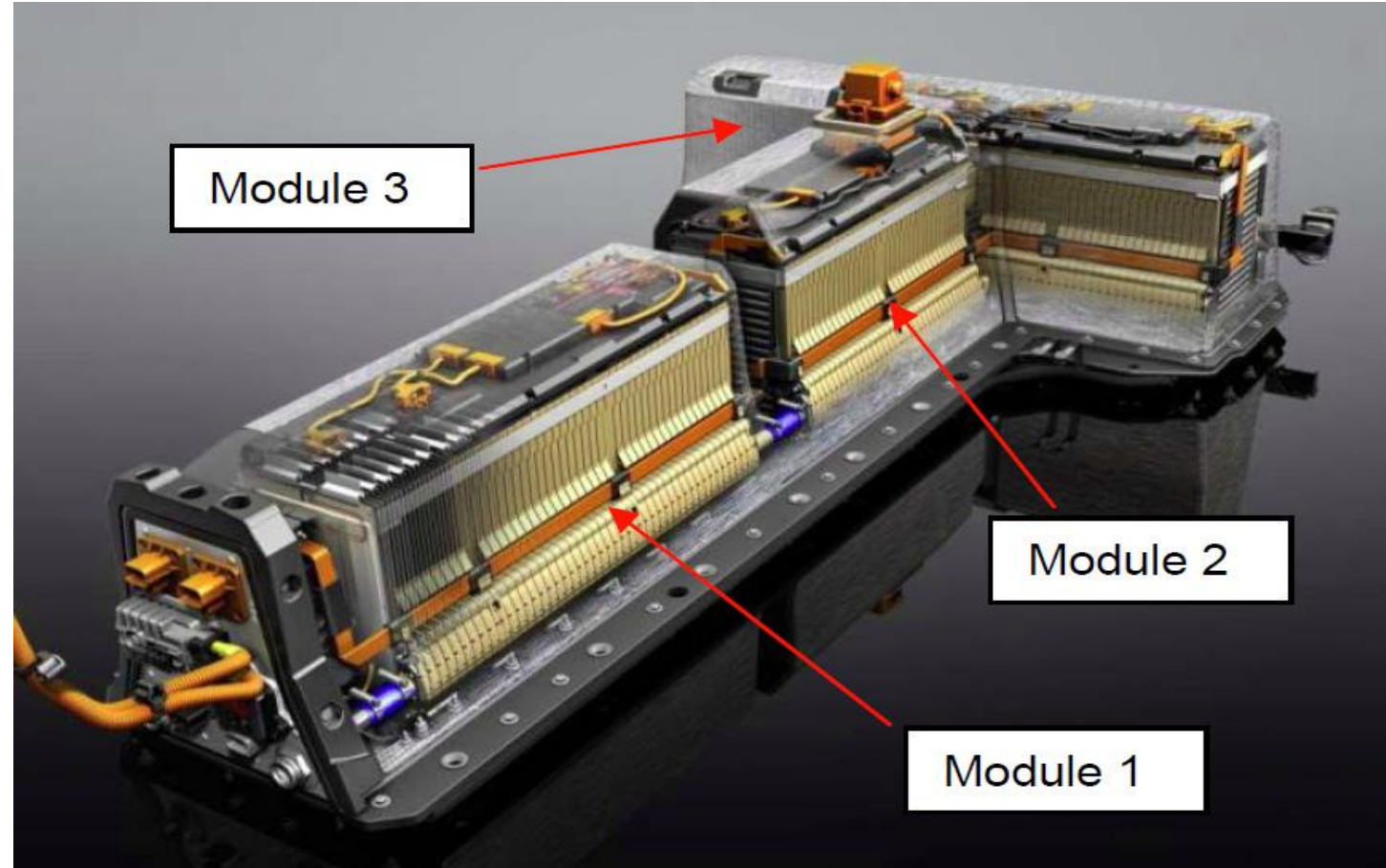
- |                           |                        |                                      |                      |
|---------------------------|------------------------|--------------------------------------|----------------------|
| ■ Tesla Model 3 LR (2018) | ● BMW i3 (2018)        | ● BMW i3 (2019)                      | ◆ Nissan Leaf (2018) |
| ● Chevy Bolt (2018)       | ● Renault ZE40 (2018)  | ▲ Renault ZE50 (2019)                | ■ Audi E-Tron (2018) |
| ⊕ Hyundai Kona (2018)     | ⊗ Jaguar I-PACE (2019) | ● Mercedes EQC (2019)                |                      |
| ⊕ NIO ES8 (2018)          | * Hyundai Ioniq (2018) | ★ BYD Han (2020) (LFP blade battery) |                      |

- Gravimetric cell-to-pack ratio (GCTP, the ratio of specific energy at the pack level to that at cell level)
- Volumetric cell-to-pack ration (VCTP)

Yang, XG., Liu, T. & Wang, CY. Thermally modulated lithium iron phosphate batteries for mass-market electric vehicles. Nat Energy 6, 176–185 (2021).

# Chevrolet Volt battery pack

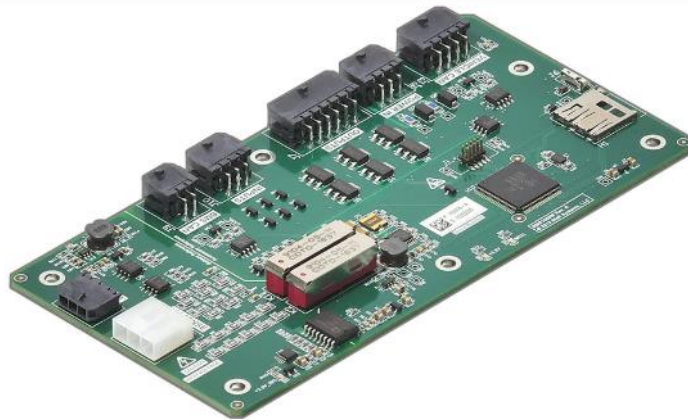
T shape, 288 LG  
P1 pouch cell



“Chevrolet Volt Battery Pack Tests, Final Report” Rept. No. GTL-DOT-11-VOLTBAT, 20-Jan-2012, by General Testing Laboratories, Inc., Colonial Beach, Virginia, for USDOT.

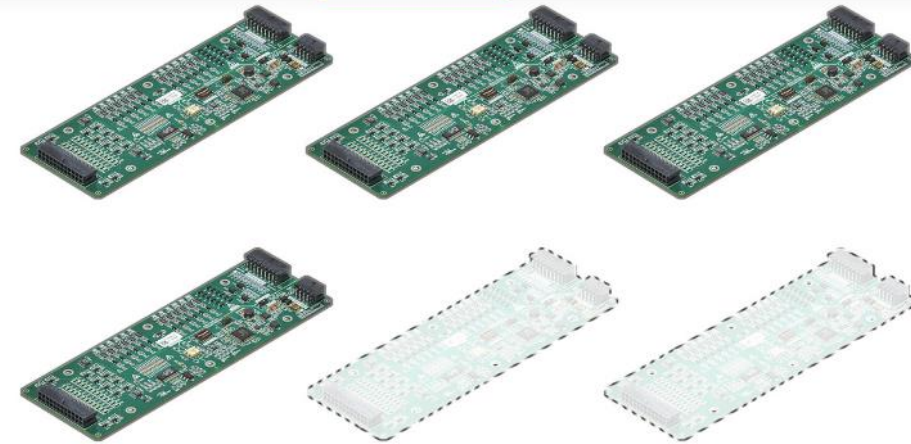
# Battery Management Systems

An embedded system (purpose-built electronics plus processing to enable a specific application)



1X **Master BMS** Unit

- Communicates with outside system via CAN 2.0B
- Manages pre-charging and contactors
- Monitors pack voltages



1-64X **Monitor BMS** Units

- Measures cell voltages and temperatures
- Balances cells
- Communicates with Master BMS via internal data link



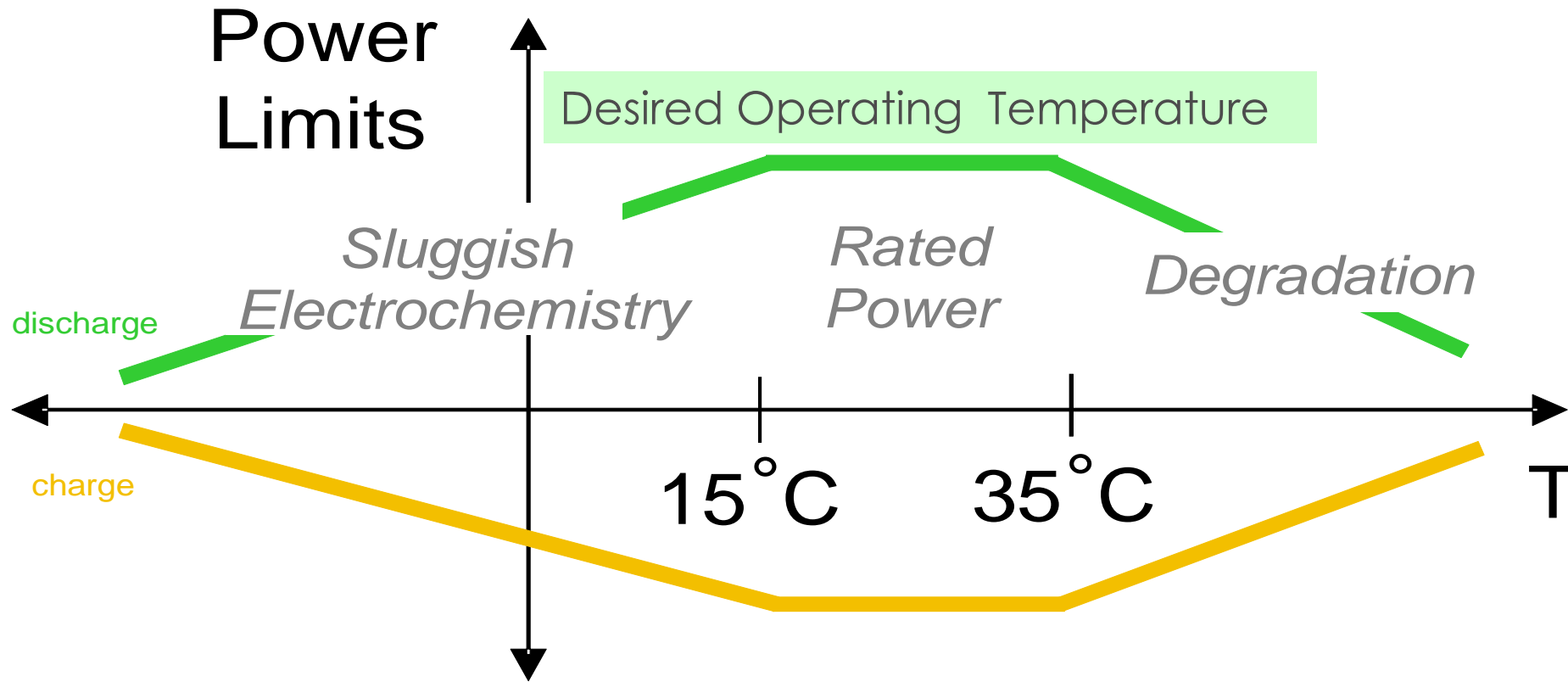
# Why Managing? (1)

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Imbalance in voltage, state-of-charge and temperature among cells

- Reduces the capacity of the battery
- Reduces the lifetime of the battery
- Increases with large charge/discharge cycles

# Why Managing? (1)



Kandler Smith, NREL Milestone Report, 2008

# Why Managing? (2)

Catastrophic failure rate in commercial Li-ion batteries ~ 1 in 5-10 million

- Equates to ~1 in 1 million laptops
- BUT also equates to ~1 in 1000 Tesla Roadsters



90 Wh



2 kWh

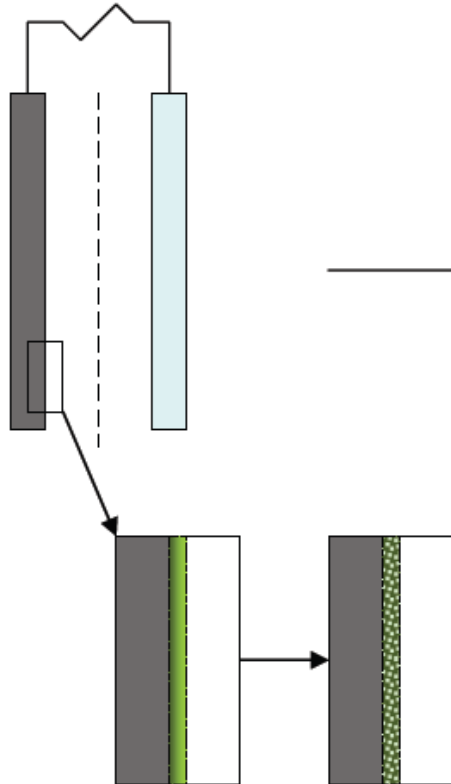


4 kWh

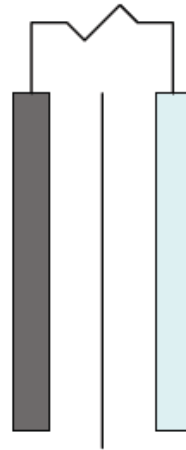


# Why Managing? (2)

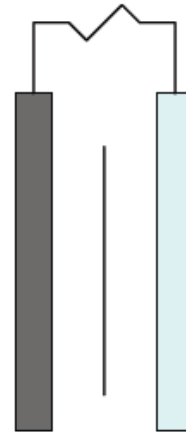
**~80°C:**  
*Passivating layer on anode  
begins to break down  
Exothermic reaction between  
anode and electrolyte*



**~135°C:**  
*Heating continues,  
separator pores close  
Exothermic reaction  
at anode continues*



**~160-180°C:**  
*Separator loses  
mechanical  
integrity & shrinks  
Massive internal short  
develops  
Rapid heating ensues*



**~180-250°C:**  
*Highly exothermic  
reactions between  
cathode & electrolyte  
Rapid temp & pressure  
rise  
Battery vents  
flammable mist*



# BMS Functions

## 1. Sensing and high-voltage control

- voltage, current, temperature; control contactor, pre-charge; ground-fault detection, thermal management

## 2. Protection against

- Over-charge, over-discharge, over-current, short circuit, extreme temperatures

## 3. Interface

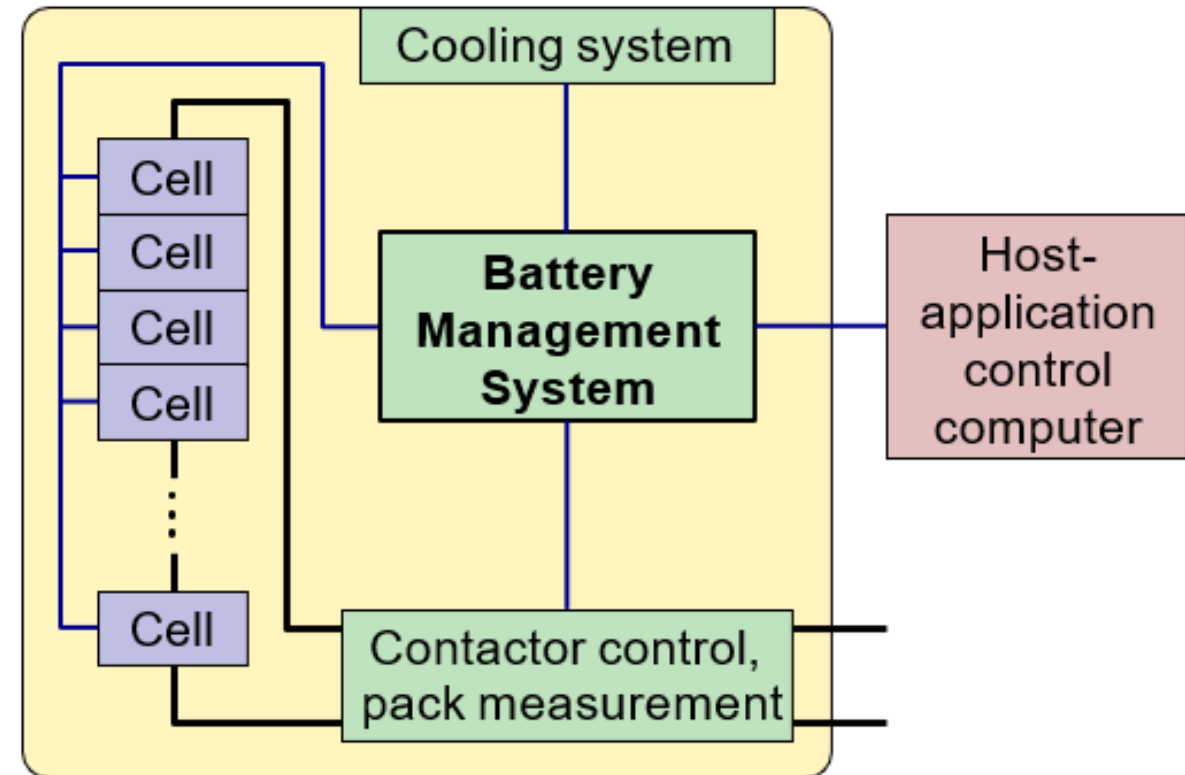
- Range estimation, communications, data recording, reporting

## 4. Performance management

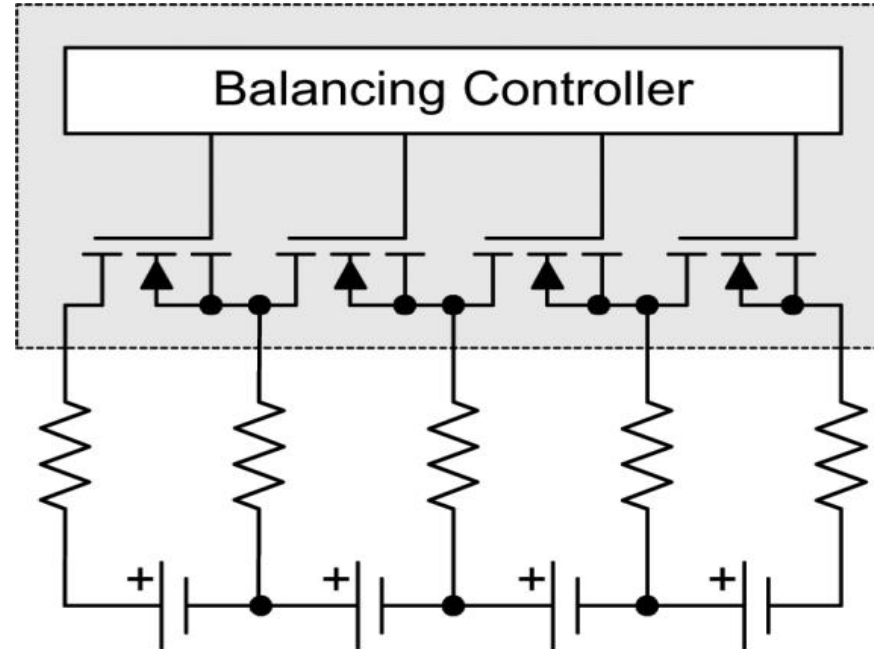
- State-of-charge (SOC) estimation, power-limit computation, balance/equalize cells

## 5. Diagnostics

- Abuse detection, state-of-health (SOH) estimation, state-of-life (SOL) estimation

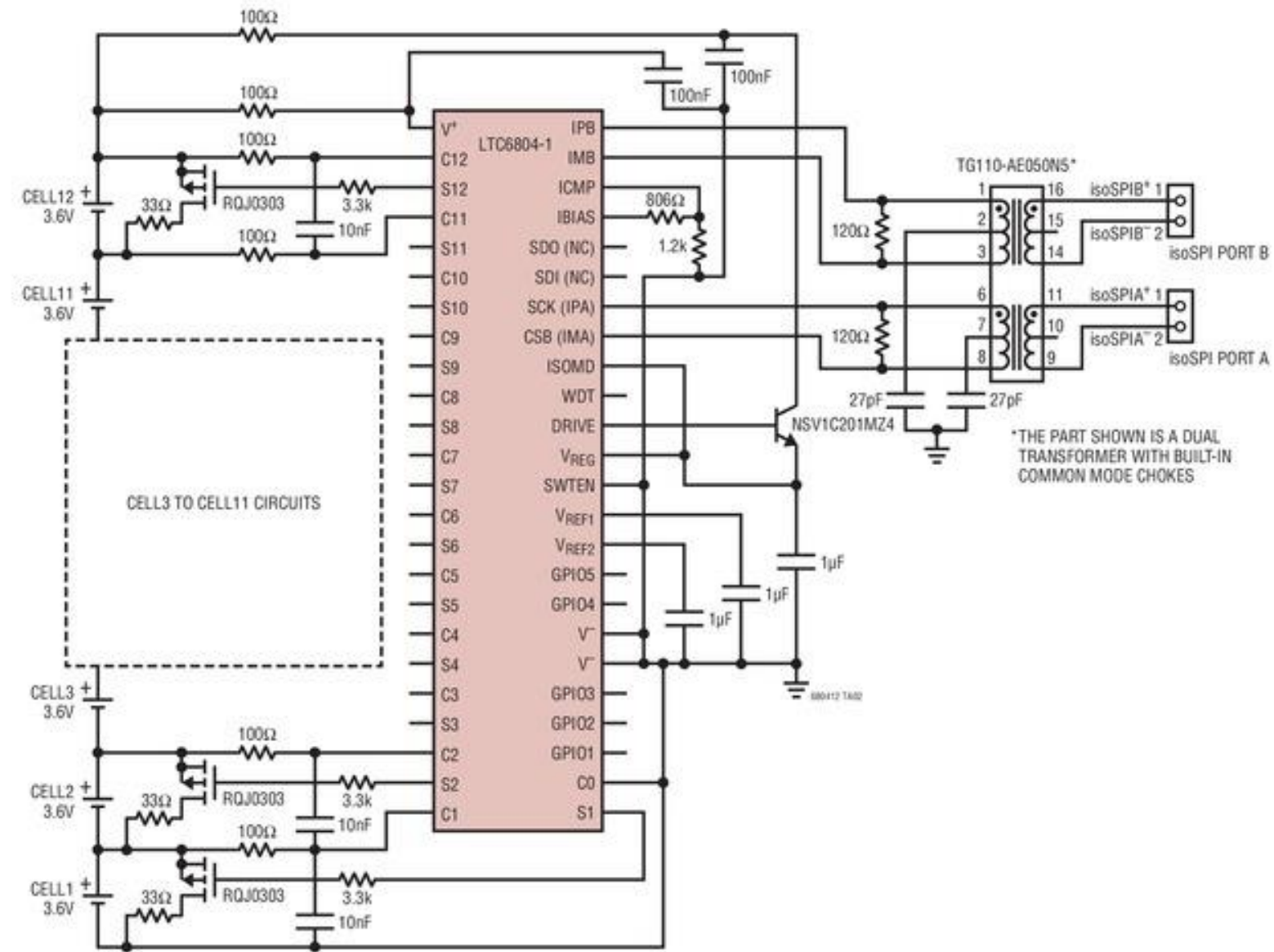


# Passive Resistor Balancing

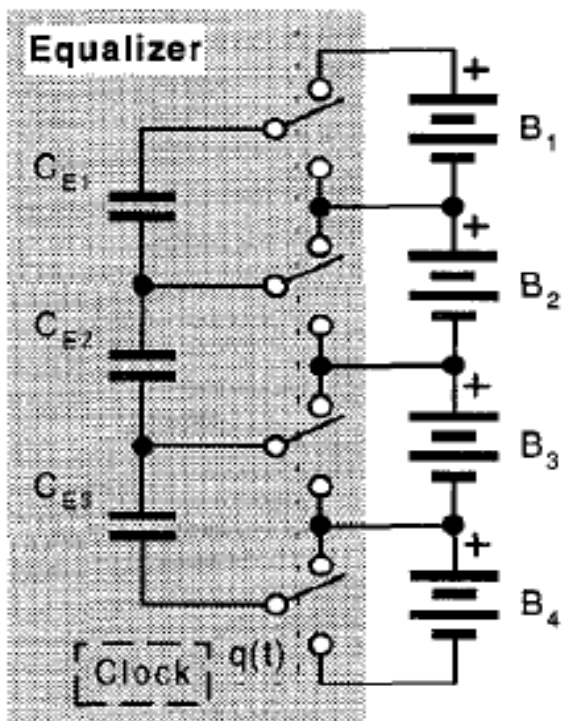


- Energy of high-voltage cells is consumed by resistors
- Loss of energy due to balance
- Hard to manage heat
- Can only balance the over-voltage cell

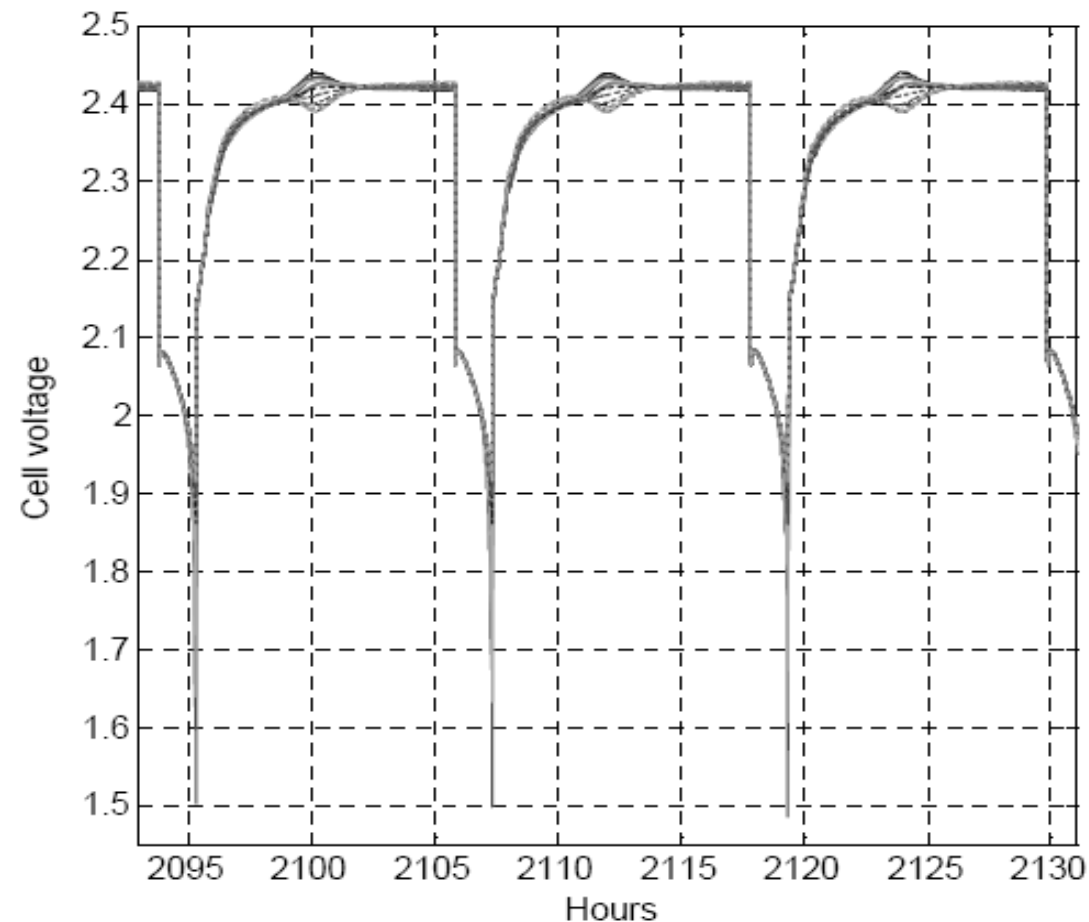
# Example: LT Resistor Balancing



# Capacitive Balancing



- Slow speed balancing: up to 20 hours
- Large size Capacitor
- Lack of enable/disable feature

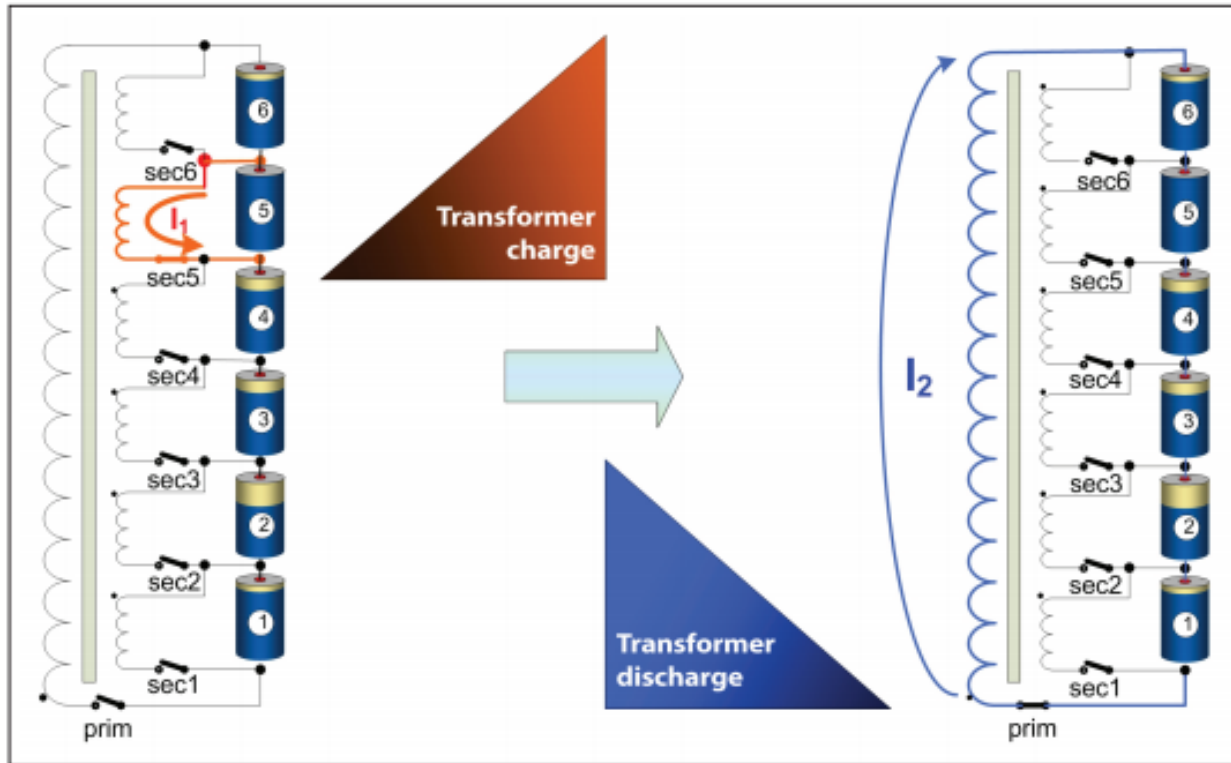


P. T. Krein, R. Balog, "Life Extension Through Charge Equalization of Lead-Acid Batteries," ITELEC02, 2002

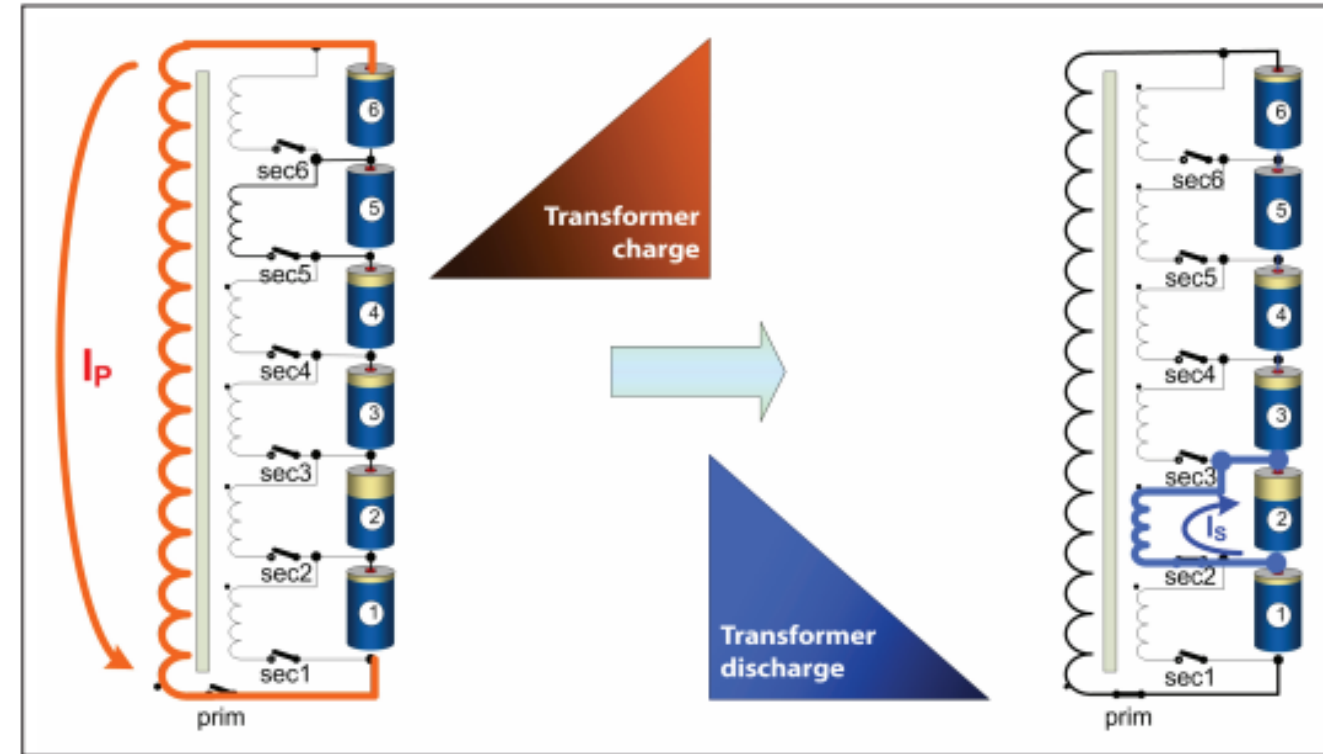


# Inductive Balancing

When some cell is high



When some cell is low

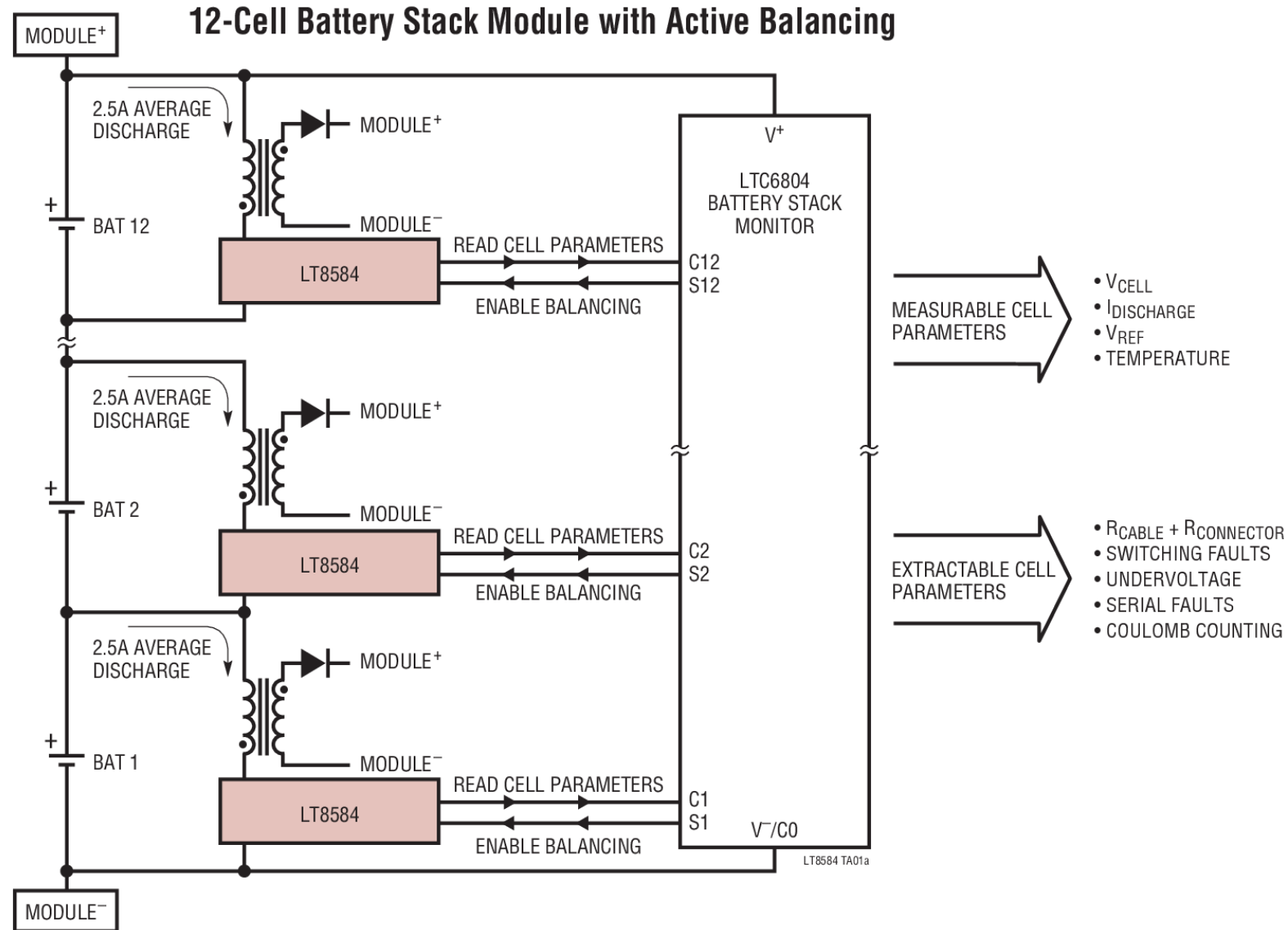


Werner Rößler, Boost battery performance with active charge-balancing, Infineon, EE Times India, 2008.

[http://www.powerdesignindia.co.in/STATIC/PDF/200807/PDIOL\\_2008JUL24\\_PMNG\\_TA\\_01.pdf?SOURCES=DOWNLOA](http://www.powerdesignindia.co.in/STATIC/PDF/200807/PDIOL_2008JUL24_PMNG_TA_01.pdf?SOURCES=DOWNLOA)

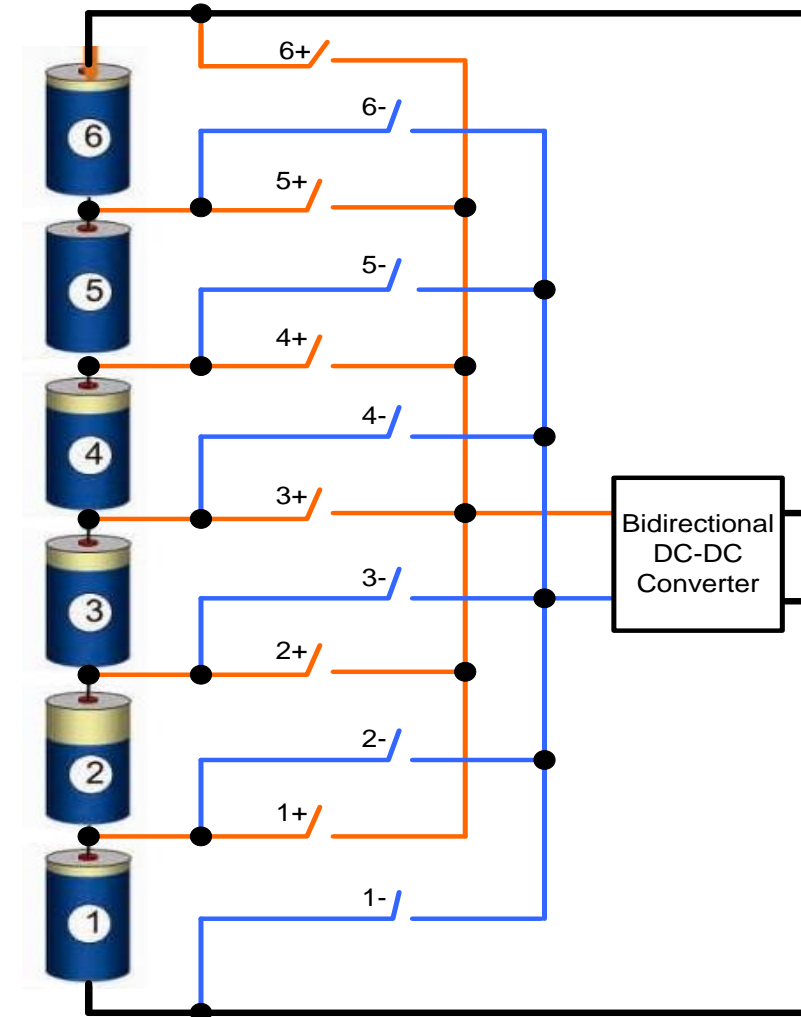
D

# Example: LT Active Balancing



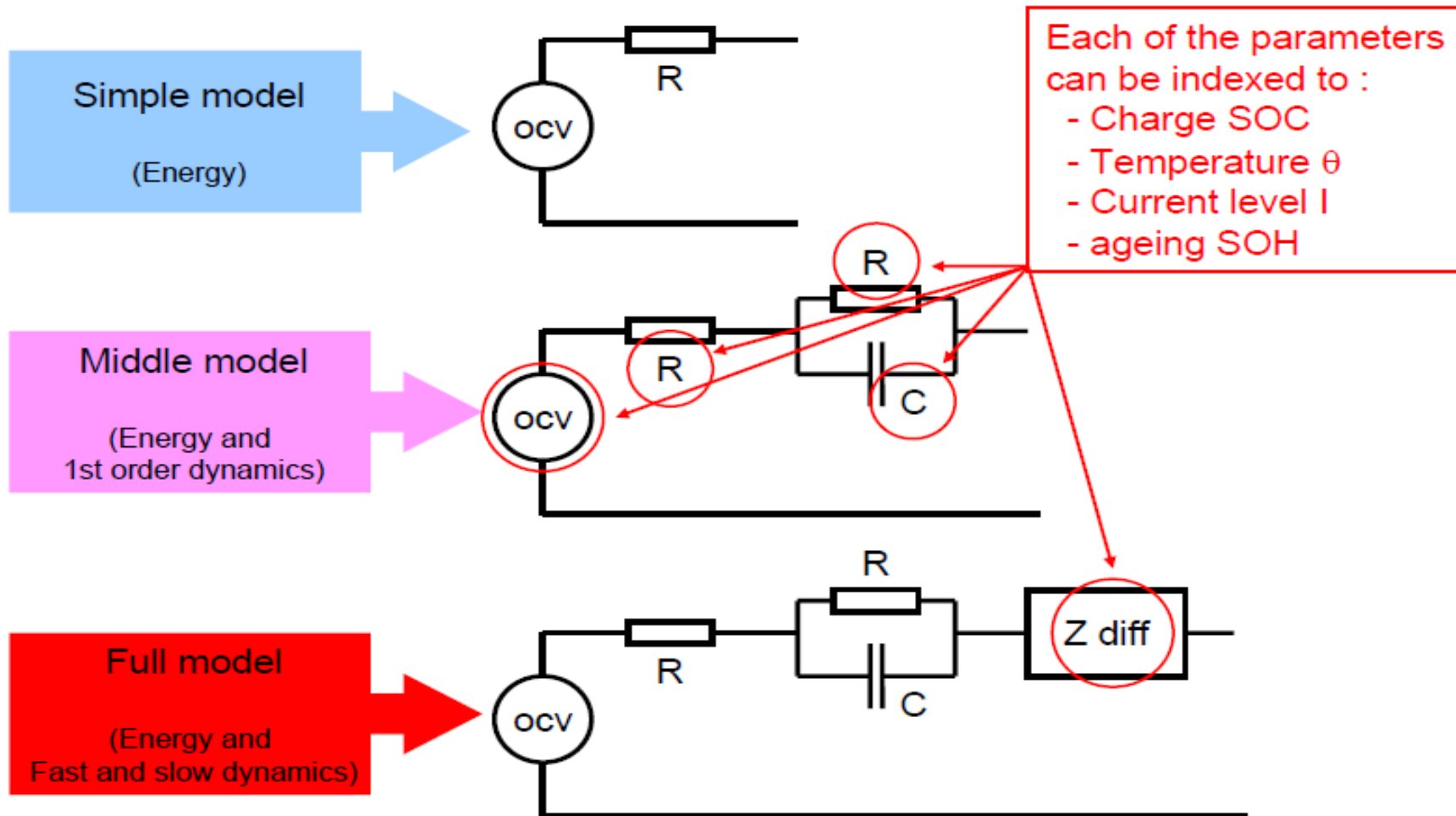
# Dynamic Balancing

- The lowest row/cell is charged by the DC-DC converter using the pack voltage
- The highest cell is discharged to the whole pack
- Difficulty is the small duty ratio for large packs

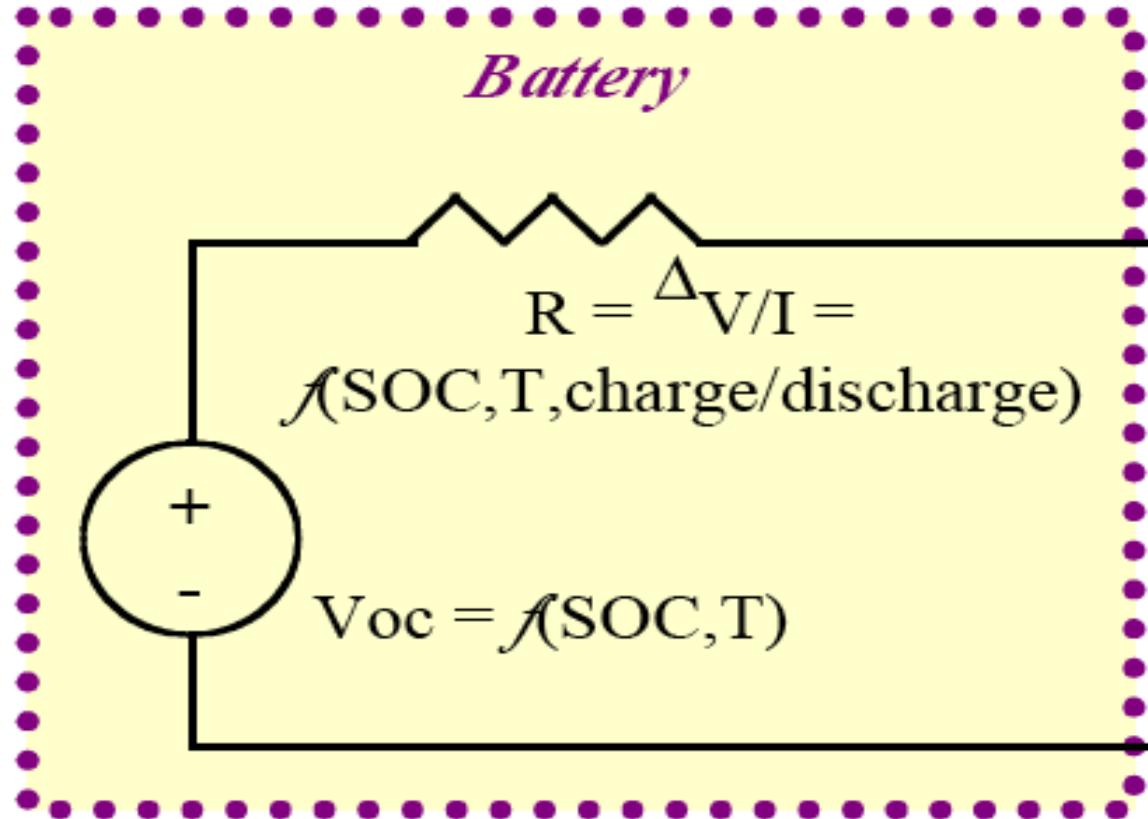


Ziling Nie, and Chunting Mi, "Fast Battery Equalization with Isolated Bidirectional DC-DC Converter for PHEV Applications," the Fifth IEEE International Vehicle Power and Propulsion Conference (VPPC), Dearborn, Michigan, USA, September 7-11, 2009.

# Battery Modeling

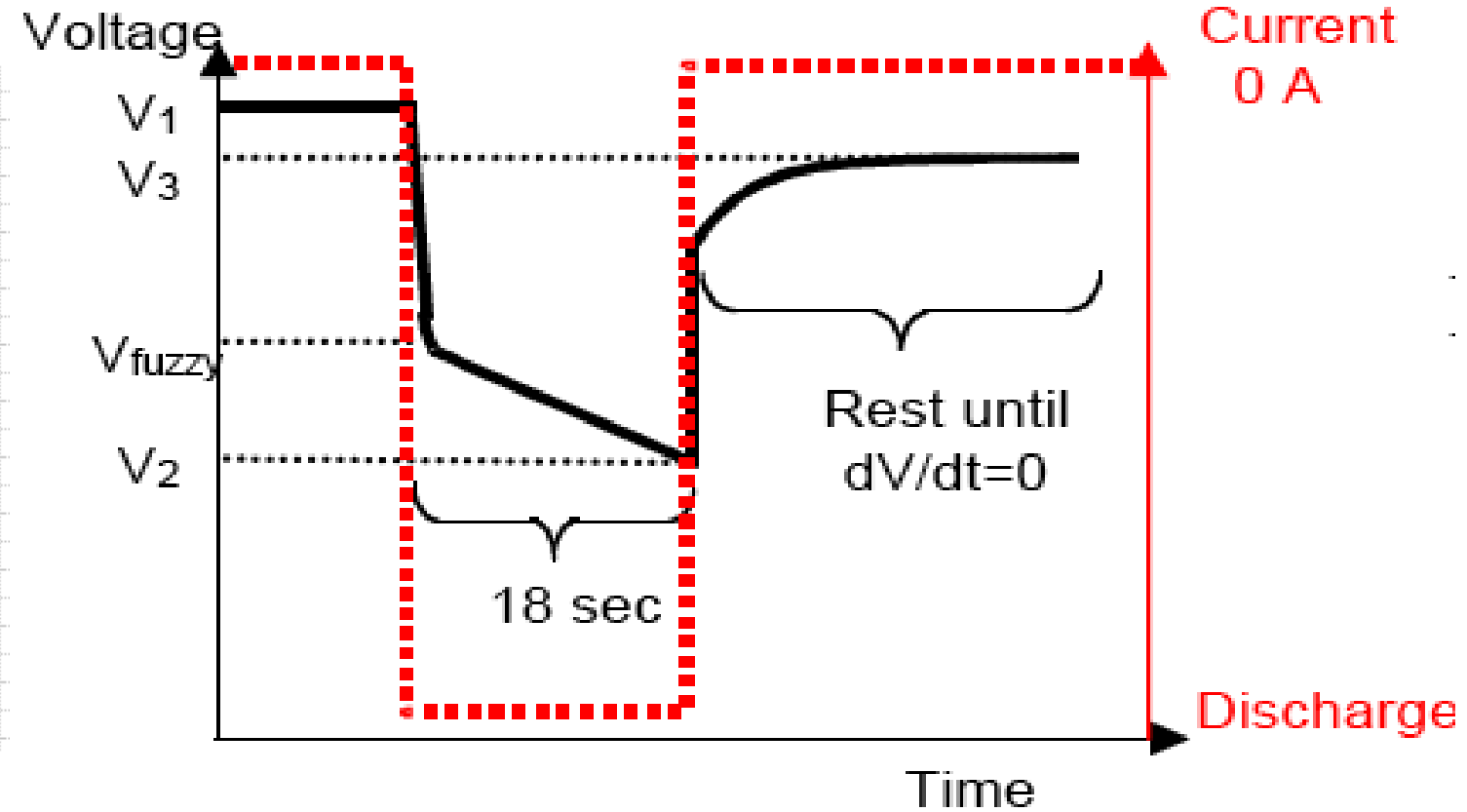
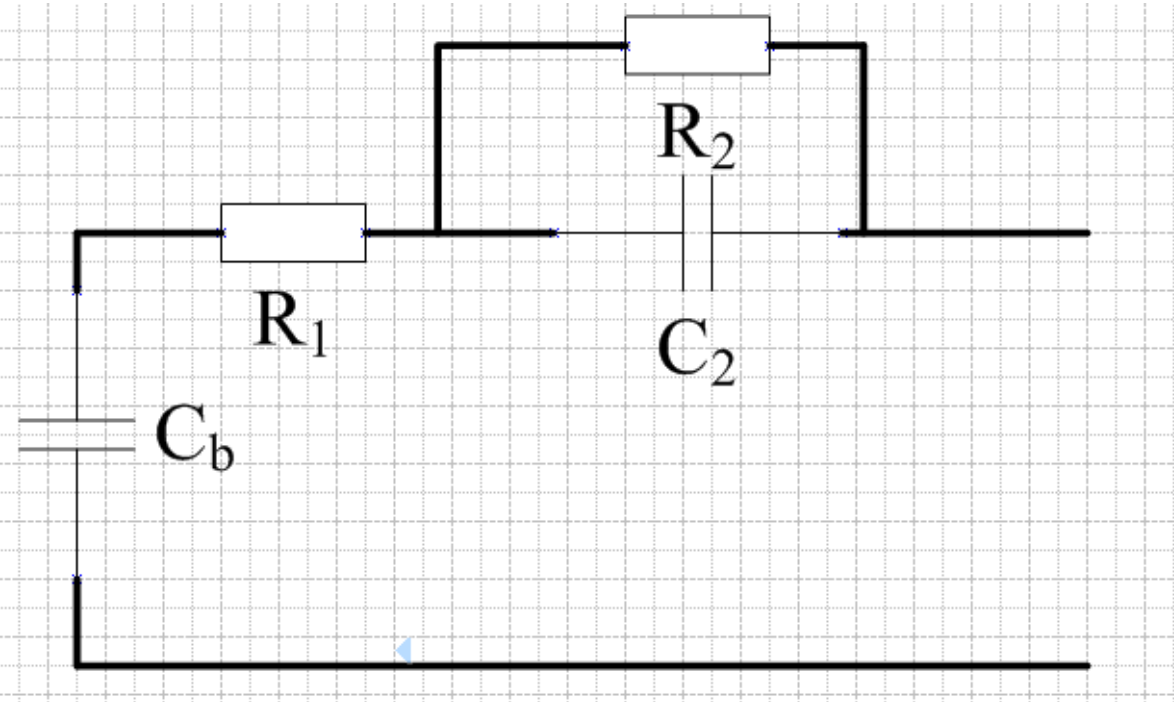


# Simple Model



Simulink, ADVISOR

# Middle Model



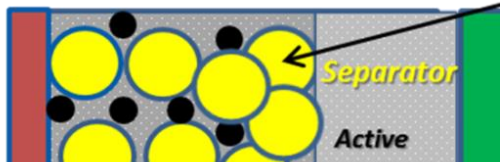
# Physics-Based Model

Charge transport in solid state

$$\sigma^{eff} \frac{\partial^2 \phi_s}{\partial x^2} = a_s j^{Li}$$

Butler-Volmer Kinetic Equation

$$j^{Li} = a_s i_0 \left( \exp\left(\frac{\alpha_a F}{RT} \eta\right) - \exp\left(\frac{-\alpha_c F}{RT} \eta\right) \right)$$



1. Cell geometries, particle sizes, material properties and even particle shape can be varied, in simulation, to optimize a cell's characteristics without the need to build numerous experimental cells
2. Connection between battery external properties (SOC) and internal parameters (Li ion concentration)

$\frac{\partial^2 \phi_s}{\partial x^2} = a_s j^{Li}$       $j^{Li} = a_s i_0 \left( \exp\left(\frac{\alpha_a F}{RT} \eta\right) - \exp\left(\frac{-\alpha_c F}{RT} \eta\right) \right)$

Charge transport in electrolyte

Ion transport in electrolyte

•Reference: M. Doyle, T.F. Fuller, and J. Newman, Journal of Electrochem. Soc., 140, 1526 (1993)  
 C. R. Pals and J. Newman Journal of Electrochem. Soc., 142 (10), 3274-3281 (1995)  
 M. Doyle, J. Newman, Journal of Electrochem. Soc., 143, 1890 (1996)  
 Chaoyang Wang, Battery System Engineering, (2014)

# State of Charge

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**1C:** We define the discharging/charging current using one-hour to deplete/charge the battery from full/empty to empty/full as 1C;

**SOC:** a proportion of the charge available at that point compared to the total charge available when it is fully charged. Full:100%. Empty: 0%;

In EV, **SOC** evaluation is also known as *fuel gauge* due to its analogy to a gas car's fuel gauge.

*Attention: Cell SOC  $\neq$  Battery SOC*



# Depth of Discharge

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**DOD:** a measure of the charge removed from the battery or cell. DOD could be expressed either in Ah or %.

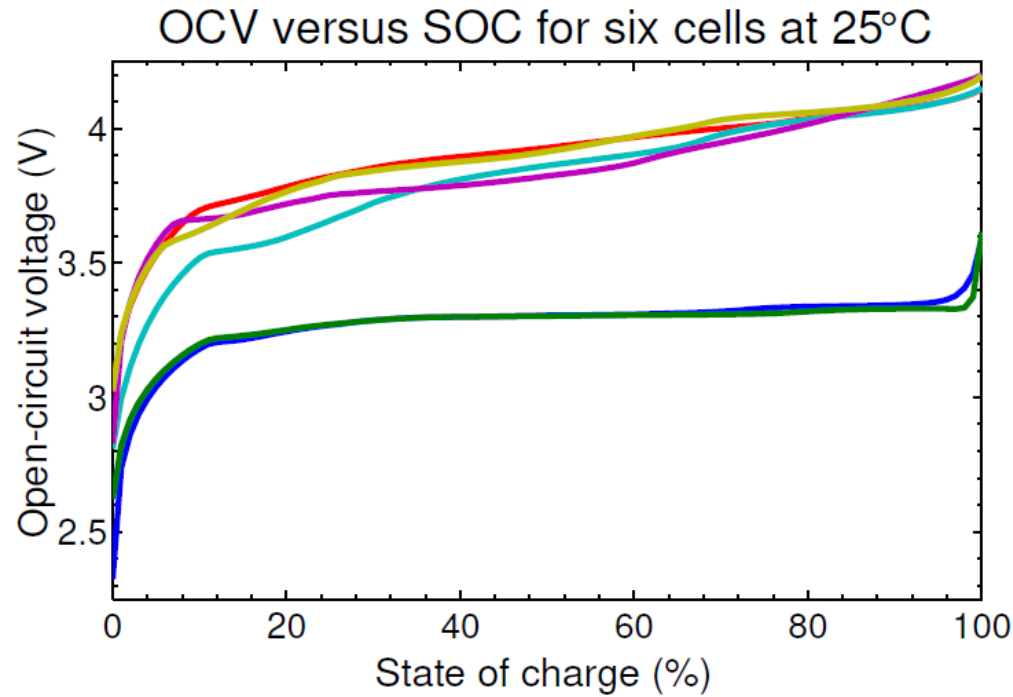
In most cases:  $\text{DOD}(\%) = 1 - \text{SOC}$ . **This description is not always true.**

A battery with 100Ah rated capacity could be further discharged even when its SOC is already 0 (not recommended though). This battery could be discharged 105Ah, meaning over discharged. Using  $\text{DOD}(\%) = 1 - \text{SOC}$ , its SOC = 0% and its DOD is 100%, however although it actually released 105Ah charge.

Therefore for DOD, we prefer to use Ah instead of %.

# SOC Estimation

## OCV method



Voltage useful as indirect indicator of SOC, but not as measurement of SOC

## Coulomb Counting

$$\text{SOC}(t) = \text{SOC}(0) - \frac{1}{Q} \eta \int_0^t I(t) dt$$

$\eta$  is cell coulombic efficiency

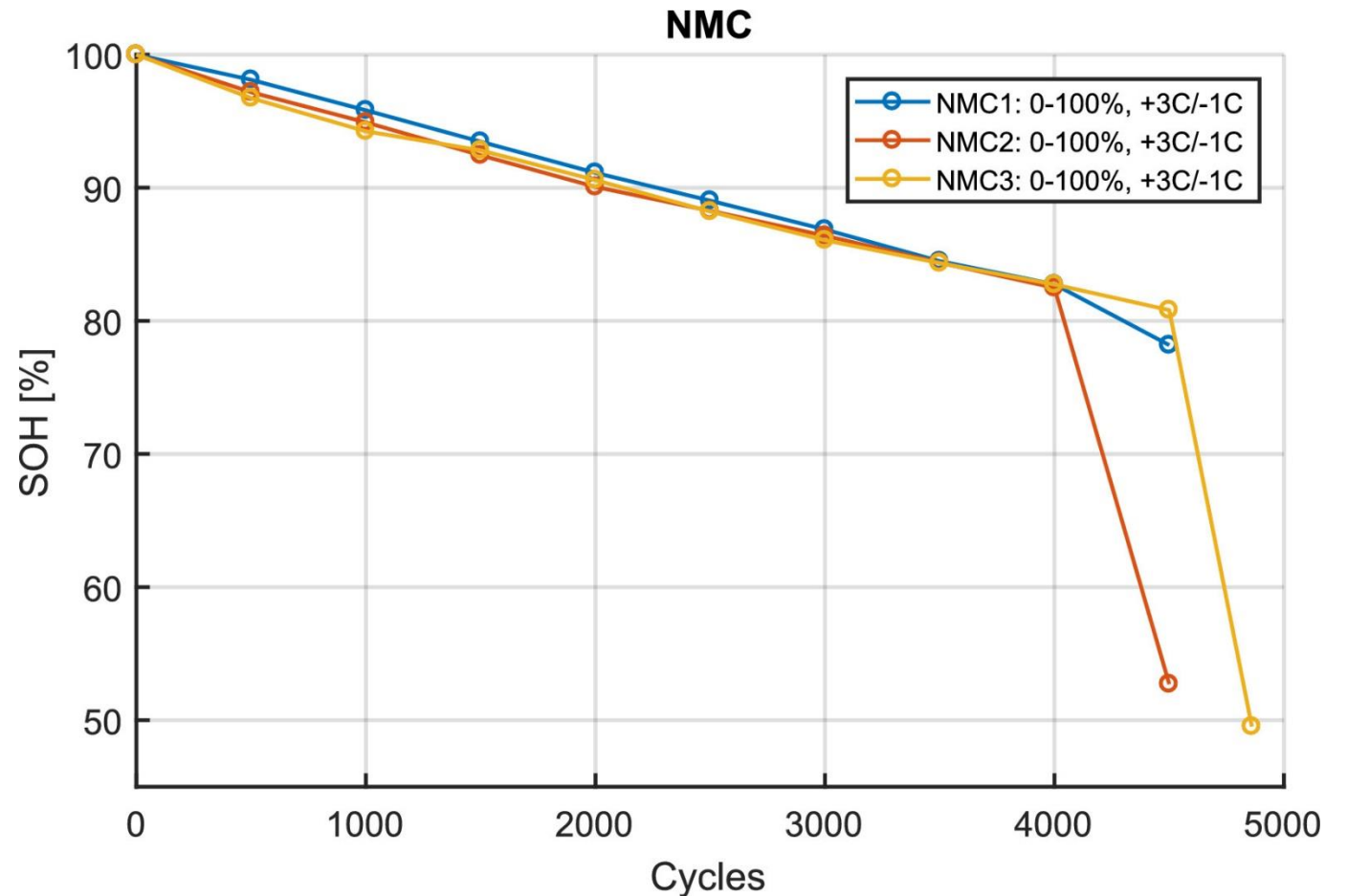
$Q$  is the cell total capacity in ampere seconds (coulombs)

Cons: Accumulating error could be severe

# State of Health

No specific mathematical expression;

However in the real practice, capacity and resistance are the two major metrics.



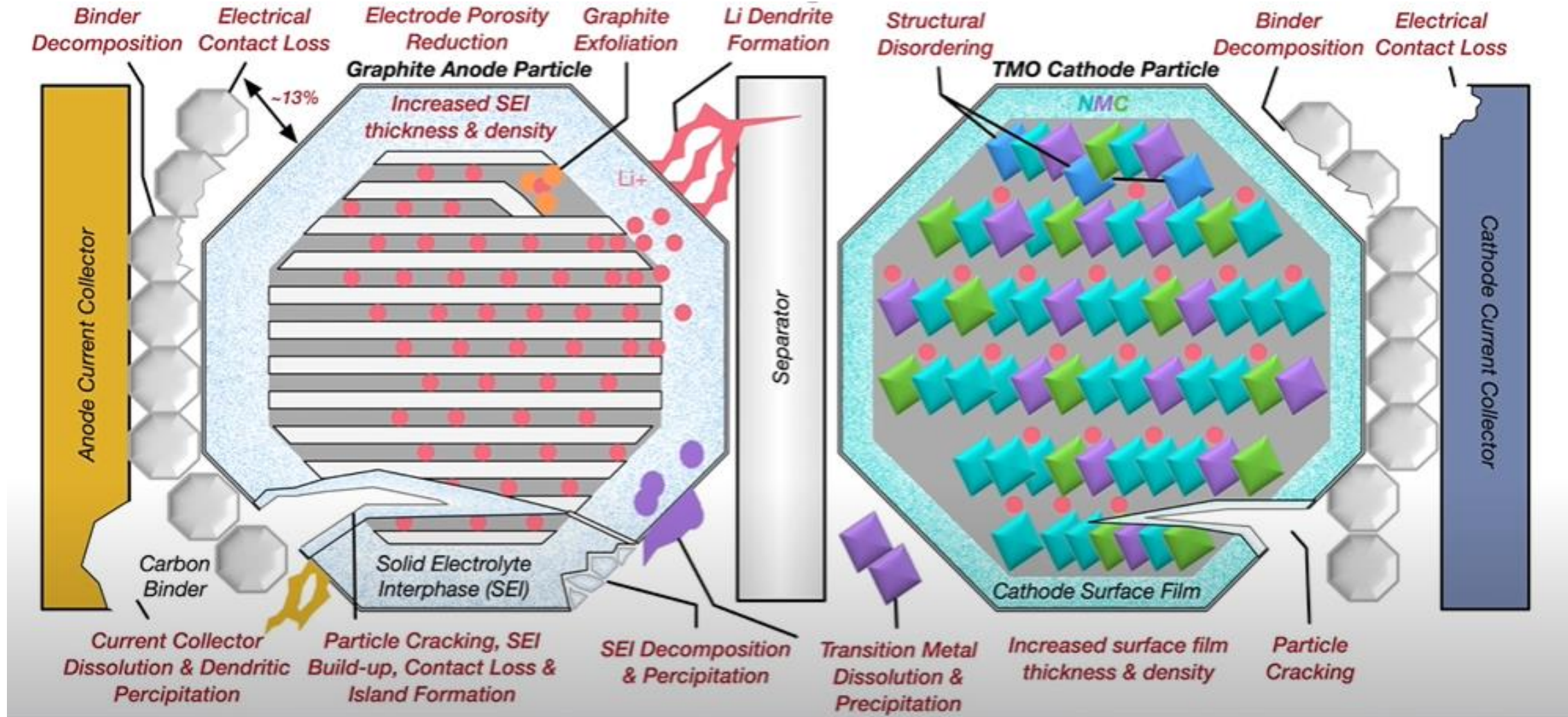
State of health estimation of cycle aged large format lithium-ion cells based on partial charging, Journal of Energy Storage, Volume 46, February 2022.

# End of Life

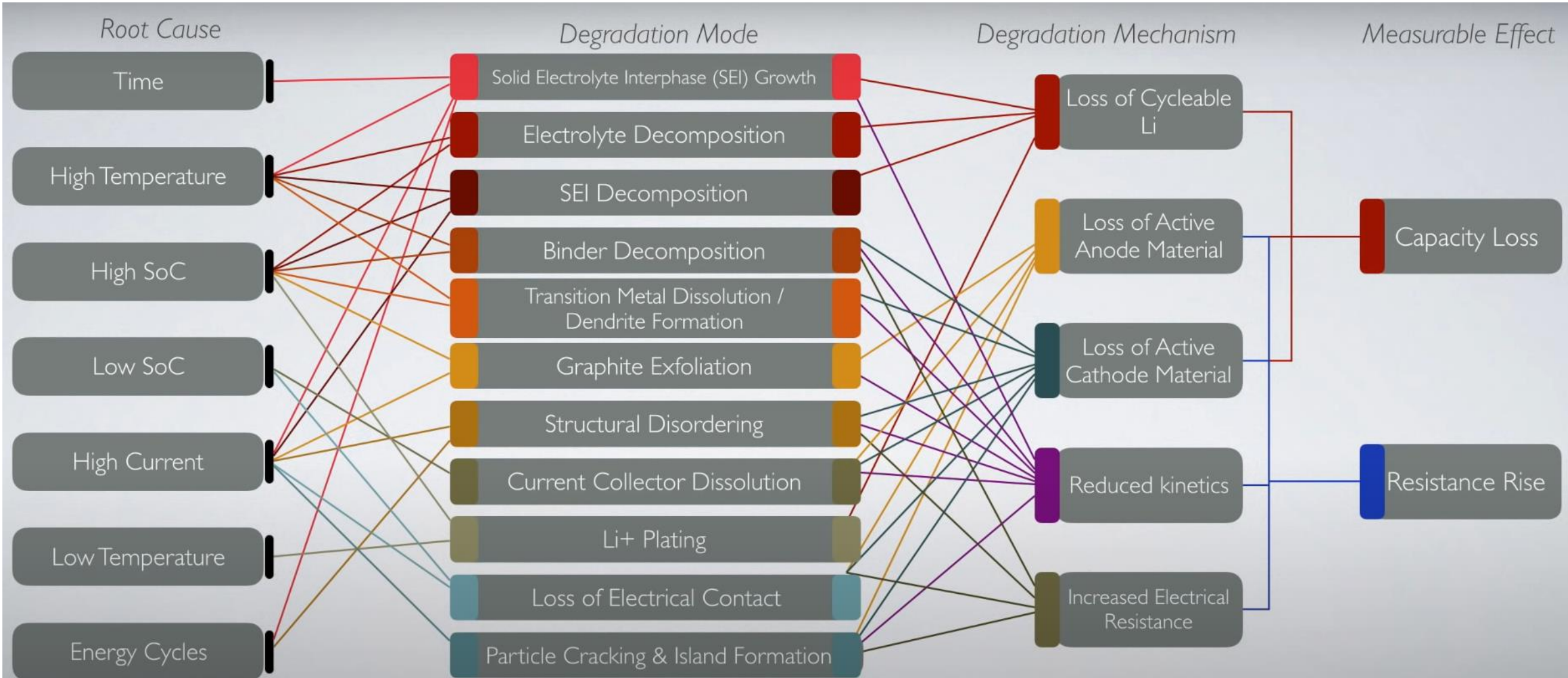
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- Battery Life requirements need to follow vehicle component requirements:
  - 10 years and 150,000 miles.
- USABC has set requirements, based on both cycle life and calendar life.
- A battery is considered at its end of life if it cannot provide at least 80% of its initial capacity/energy, or 70% of its initial power (~130% of its original resistance).

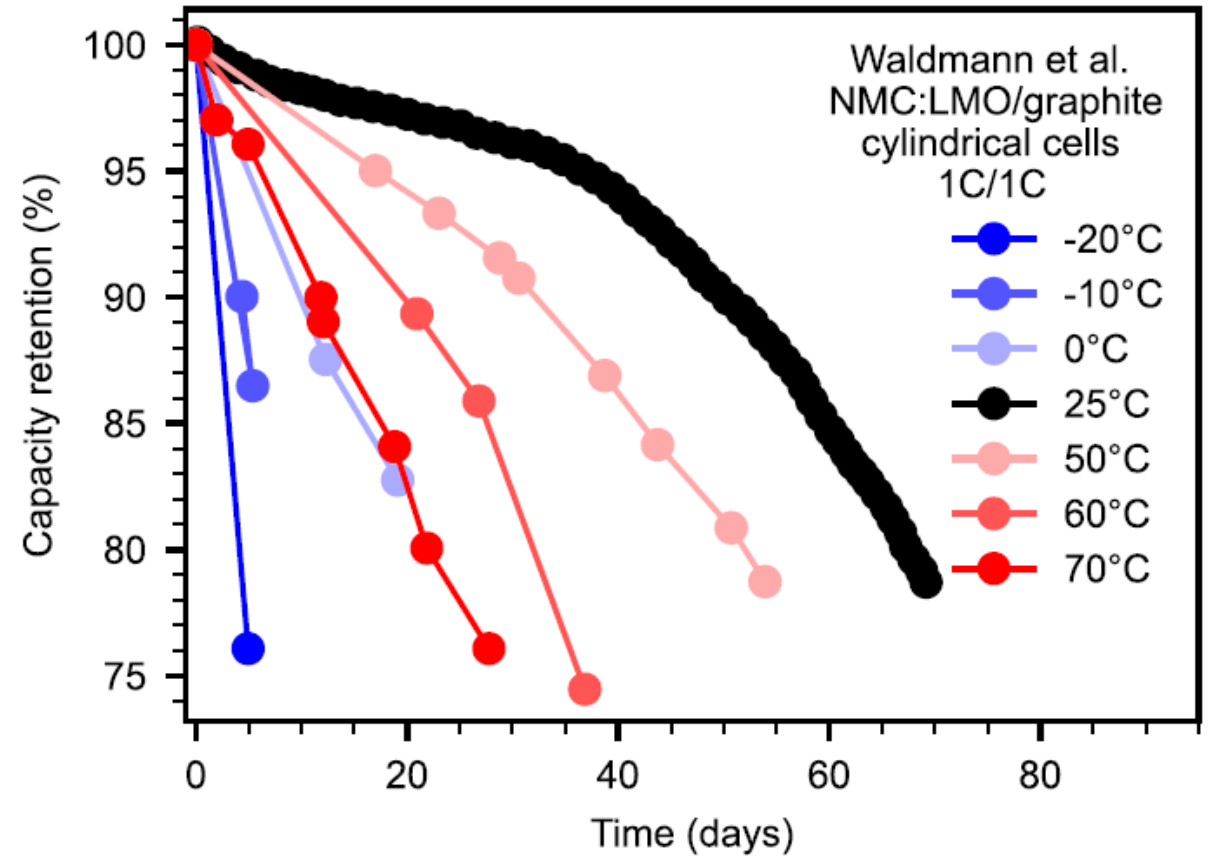
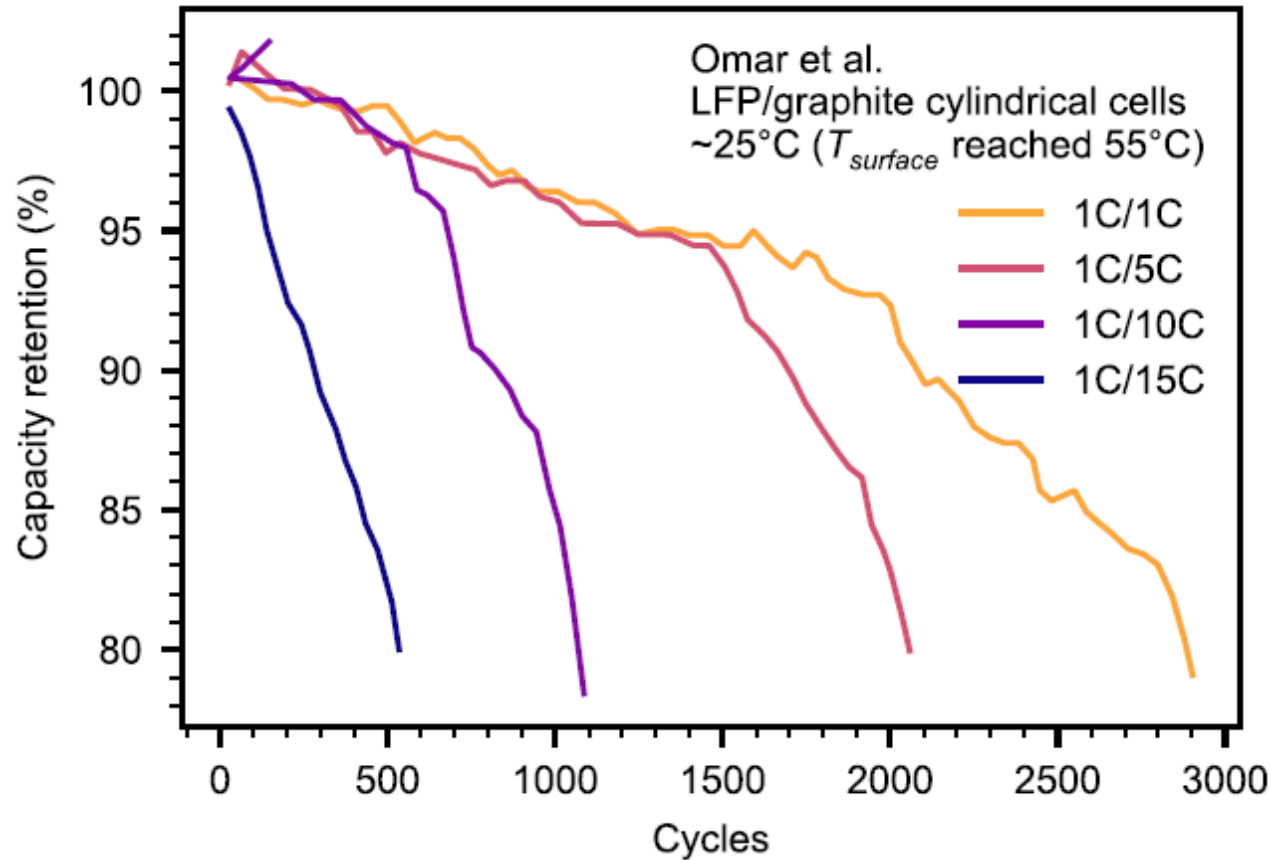
# Battery Degradation



# Battery Degradation

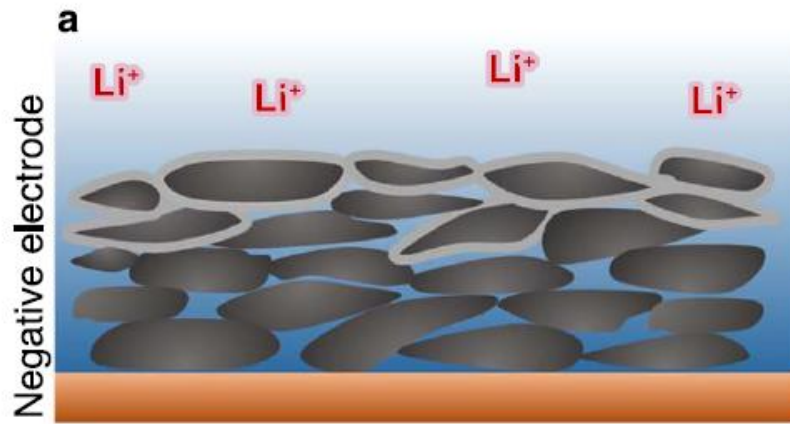


# State of Health

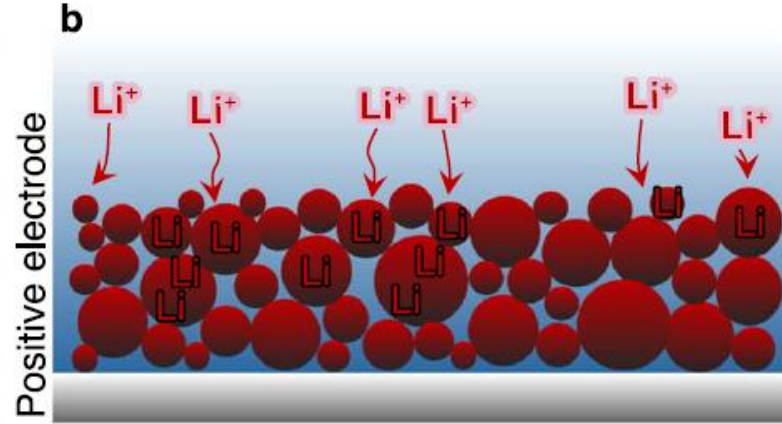


“State of health estimation of cycle aged large format lithium-ion cells based on partial charging”, Journal of Energy Storage, Volume 46, February 2022.

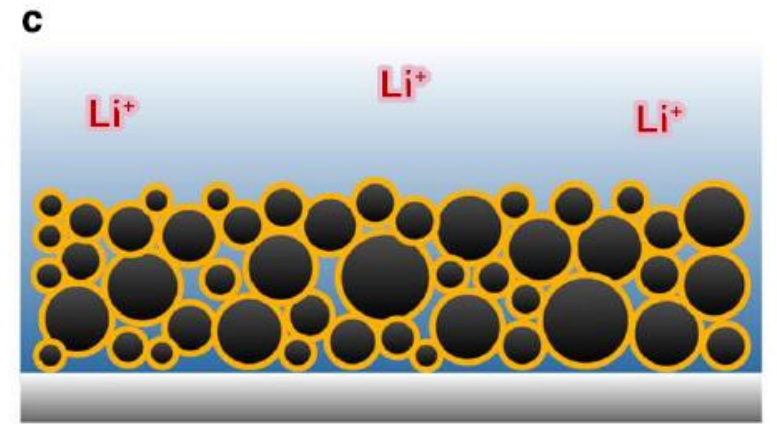
# State of Health



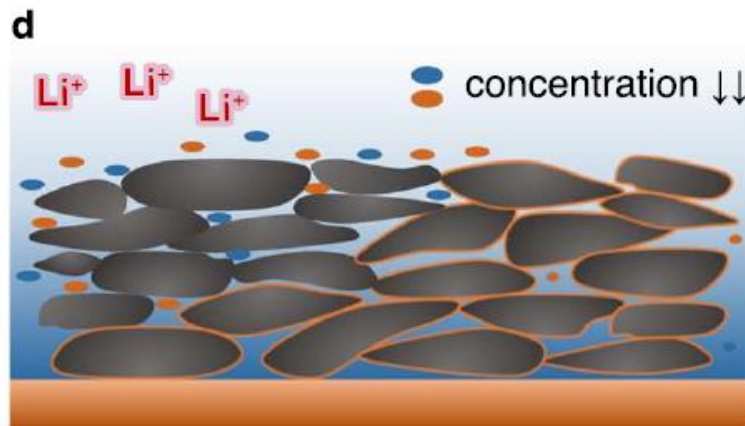
Lithium plating



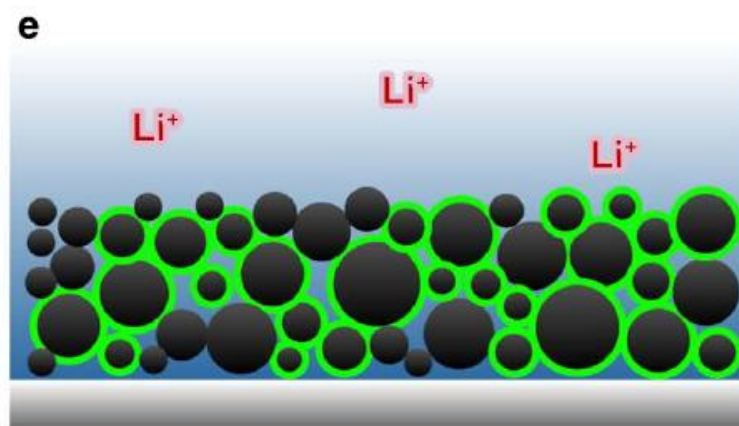
Electrode saturation



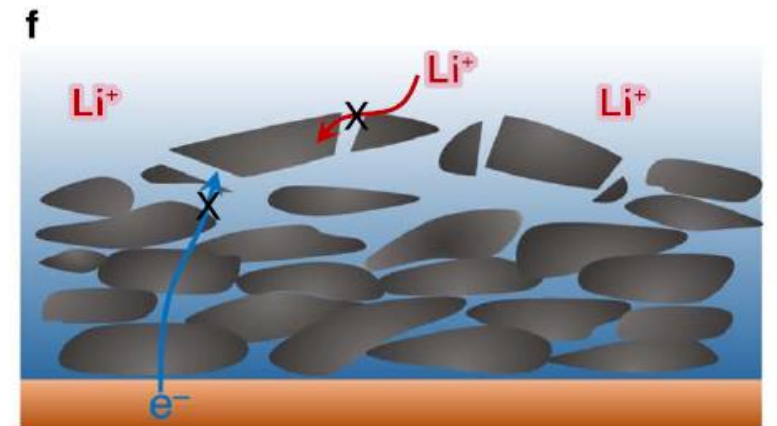
Resistance growth



Electrolyte and additive depletion



Percolation-limited connectivity



Mechanical deformation

Review—"Knees" in Lithium-Ion Battery Aging Trajectories, Peter M. Attia *et al* 2022 *J. Electrochem. Soc.* **169** 060517



# Key Impact Factors

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**Charging rate**

**High charging current expedites Li plating;**

**Discharging rate**

Mixed

**Voltage limit**

**Wide voltage window accelerates the onset of the knee point**

**Temperature**

**Studies found 25~35°C is the best**

**Rest time**

Mixed

**Pressure**

**Optimized in the intermediate value**

Review—"Knees" in Lithium-Ion Battery Aging Trajectories, Peter M. Attia *et al* 2022 *J. Electrochem. Soc.* **169** 060517

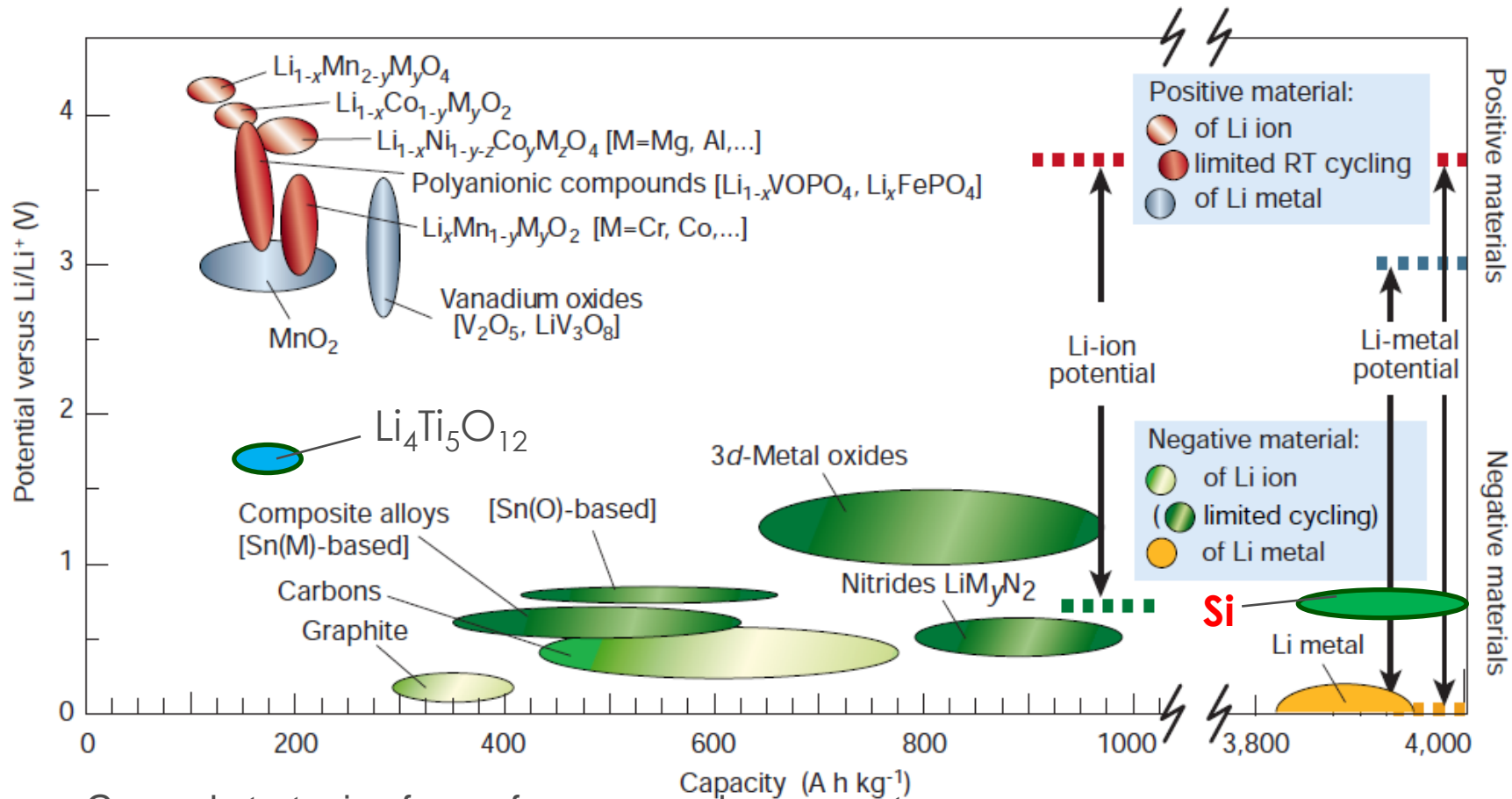
# Grand Challenges

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1. Can we extend battery life by  $>3$  times (cycle, calendar)?
2. How fast can batteries be charged ( $<10$ min)?
3. Can we make batteries be completely safe?
4. How do we sense the battery health condition?
5. How do we make battery wearable, flexible and stretchable?
6. How high energy density can batteries go (Wh/kg, Wh/L)?
7. Can we reduce the battery cost down by 3 times?
8. What are the methods for battery reuse and recycle?
9. How do we do grid-scale and seasonal storage?

*Yi Cui, Stanford University*

# Li-ion Battery Present Development



General strategies for performance enhancement

1. Dimension Reduction
2. Composite Formation
3. Doping & Functionalization
4. Morphology Control
5. Coating & Encapsulation
6. Electrolyte Modification

N. Nitta, F. Wu et al., Li-ion battery materials: present and future, *Materials Today*, 18(2015)252

# Li-ion vs Na-ion

## Electrical Vehicles



### *Important Parameters*

- High energy capacity
- High power capacity
- High cyclability
- High safety

## Large-scale ESS



### *Important Parameters*

- Very long calendar life
- Very low cost
- High columbic efficiency



FARADION: Na-ion battery powered vehicle

# Na-ion Battery

## Why beyond Li-ion battery?

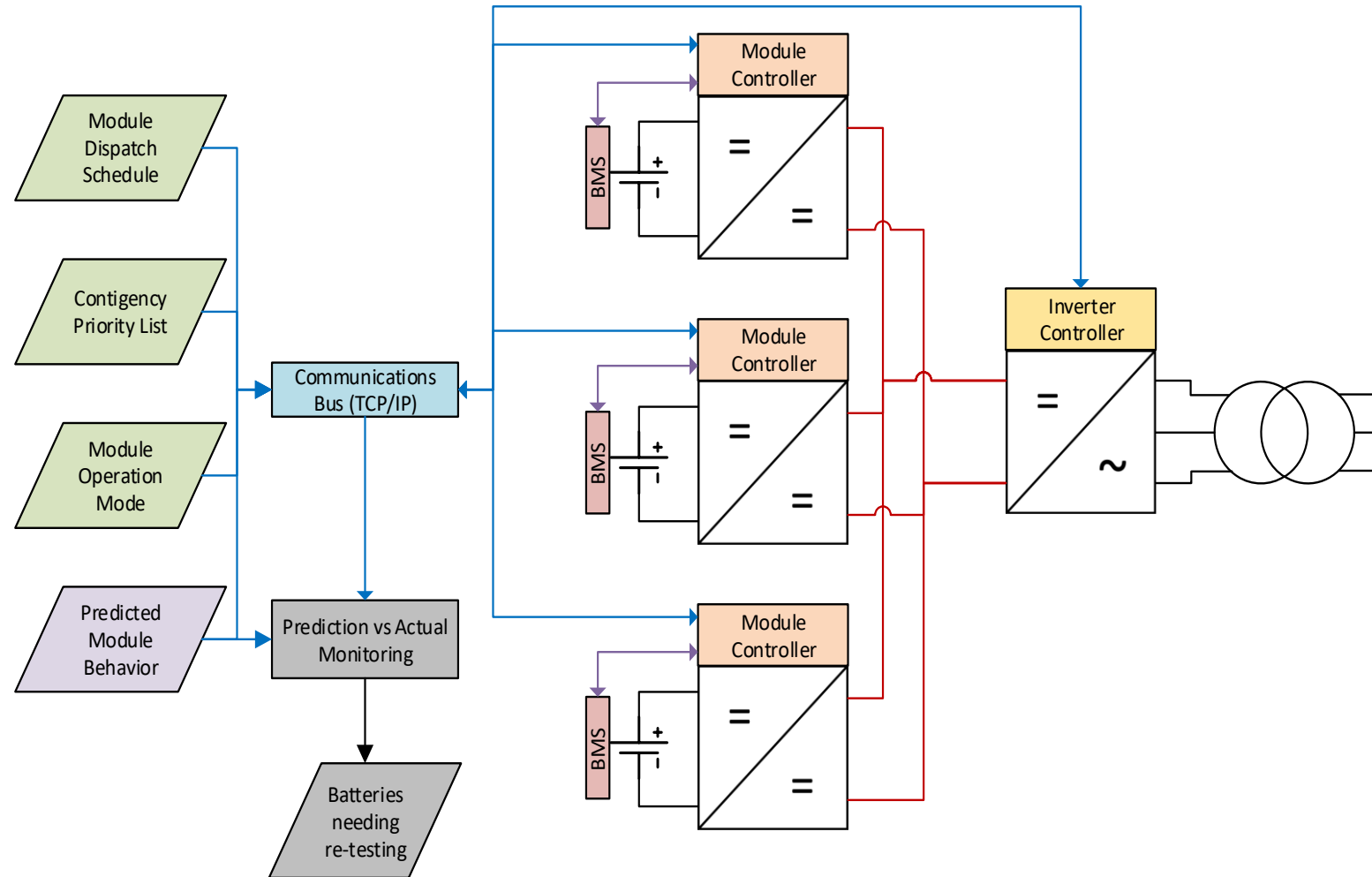
- Limited resources of lithium raw materials
- Unevenly distributed (*mainly in South America*)
- Increasing demand

## Why Na-ion battery?

- Unlimited resources
- Physical and chemical similarity

	Li <sup>+</sup>	Na <sup>+</sup>
relative atomic mass (g/mol)	6.94	23
Shannon's Ionic radii (Å)	0.76	1.02
E <sup>0</sup> (vs SHE, V)	-3.04	-2.71
material abundance (ppm)	20	23,600
molar volume (Å <sup>3</sup> )	21.3	39.3
theoretical capacity of ACoO <sub>2</sub> /mAh g <sup>-1</sup>	274	235
theoretical capacity of ACoO <sub>2</sub> /mAh cm <sup>-3</sup>	1387	1193

# Second-life Battery



Mitchell T. Smith, Michael R. Starke, Madhu Chinthavali, and Leon M. Tolbert, “Architecture for Utility-Scale Multi-Chemistry Battery Energy Storage”, ECCE 2019.

# Battery Conventions

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Time

C-rate

OCV

Capacity

SOC

DOD

Energy

Power

Cycle number

Equivalent full cycles (or capacity/energy throughput)

Calendar life

Cycling life

Self discharging current

SOH

EOL

Capacity knee point

Resistance elbow

# Conclusion

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1. Battery electrochemical equations determine charge & energy density;
2. Solid-state & Na-ion battery deserve attentions in the near future;
3. Battery SOH has many unknowns, due to not enough data available;
4. In EECS domain, BMS, SOH and SLB are still important topics.